BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY • GAVIN NEWSOM, GOVERNOR SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD 1601 Response Road, Suite 260, Sacramento, CA 95815 P (916) 287-7915 | www.speechandhearing.ca.gov



MEMORANDUM

| DATE | April 24, 2023 |
|---------|--|
| ТО | Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board |
| FROM | Paul Sanchez, Executive Officer |
| SUBJECT | Agenda Item 6: Executive Officer Report |

This report and the statistical information provided by staff is to update you on the current operations of the Board.

a) Administration Update

To prevent a deficit in the current budget year, Board management reduced its staffing by ending the appointment of the recently hired limited-term enforcement analyst. In addition, the Board's part-time legislation analyst's hours were temporarily reduced. We are working with DCA Budgets to closely analyze our funding needs for current and future staffing.

b) Outreach Update

On April 1, 2023, Gilda Dominguez and I provided a presentation to the attendees of the California Speech Language Hearing Association (CSHA) Convergence Conference in Anaheim California. The presentation included an overview of the Board, the importance of licensure, an enforcement overview, the Board's role in legislation and regulations, and an update of recent legislation and regulations. After the presentation, I participated in a podcast with CSHA representatives and discussed changes in licensing, the Board, and the importance of licensee involvement in regulatory and legislative processes.

On April 4, 2023, I participated in a meeting with California Council of Academic Programs in Communication Sciences and Disorders to discuss online licensing, licensing timeframes, and answer general questions related licensing.

c) Budget Report

Included in your Board materials is the most recent Expenditure Projection Report (Item 7c). This report reflects fiscal activity through January 31, 2023 and is based on data provided by DCA's Budget Office. Based on the current projections, the Board is on course to expend most of its budget. This year, the Board's budget was impacted by an increase in operating costs, including personnel and enforcement. To prevent a fiscal year-end deficit, Board management has made temporary spending reductions that include reducing staffing levels, postponing a practical examination, and lower overall general spending. We will continue to monitor the budget closely and work with DCA Budgets to have more information on final projections and reversion amounts as we get closer to the end of the fiscal year.

Also included in your Board materials is a current Fund Condition Report (Item 7c) that reflects the 2023-2024 Governor's Budget. This report is a snapshot of the Board's fund condition and will continue to be adjusted to reflect this year's complete revenue and expenditures.

d) Regulations Report

Below is a table with the Board's pending rulemaking files that are either going through the DCA Initial Review Process or already in the Official Rulemaking Process with the Office of Administrative Law. A separate detailed report (Agenda Item 12) is provided in your materials and will be discussed during the full Board meeting.

| Rulemaking File | Final OAL Filing Date | Status | Comments |
|---------------------------------|-----------------------|---|-------------------------|
| Required Professional | 8/4/2023 | 2/13/2023 – Approved by OAL | Effective April 1, 2023 |
| Experience | | 12/29/2022 – Submitted to OAL | |
| Direct Supervision Requirements | | 12/19/2022 – Submitted for Agency review | |
| and Tele- Supervision | | 12/14/2022 – Submitted for DCA review | |
| | | 11/7/2022 – 15-day comment period | |
| | | 10/28/2022 – Board approved modified text | |
| | | 8/5/2022 – Initial 45-day comment period | |
| | | 6/13/2022 – Submitted for Agency review | |

| Rulemaking File | Final OAL Filing Date | Status | Comments |
|-----------------------|-----------------------|---|-------------------------|
| | J | 4/5/2022 – Submitted for DCA review | |
| | | 5/17/2021 – Submitted for Legal review | |
| | | 5/14/2021 – Board approved language | |
| Notice to Consumers | 9/8/2023 | 2/6/2023 – Approved by OAL | Effective April 1, 2023 |
| Consumers | | 12/23/2022 – Submitted to OAL | 2023 |
| | | 12/19/2022 – Submitted for Agency review | |
| | | 12/12/2022 – Submitted for DCA review | |
| | | 12/9/2022 – Submitted for Legal review | |
| | | 11/29/2022 – 15-day comment period | |
| | | 10/28/2022 – Board approved modified text | |
| | | 9/9/2022 – Initial 45-day comment period | |
| | | 8/15/2022 – Submitted for Agency review | |
| | | 8/9/2022 – Submitted for DCA review | |
| | | 3/24/2022 – Submitted for Legal review | |
| | | 2/25/2022– Board approved language | |
| Uniform Standards | 9/29/2023 | 2/13/2023 – Approved by OAL | Effective April 1, 2023 |
| Related to | | 12/29/2022 – Submitted to OAL | 2023 |
| Substance- Abusing | | 12/19/2022 – Submitted for Agency review | |
| Licensees | | 12/12/2022 – Submitted for DCA review | |
| | | 12/9/2022 – Submitted for Legal review | |

| Rulemaking File | Final OAL Filing Date | Status | Comments |
|-------------------------------------|---------------------------------------|--|---|
| | T ming Date | 9/30/2022 - Initial 45-day comment period | |
| | | 9/12/2022 – Submitted for Agency review | |
| | | 8/22/2022 – Submitted for DCA review | |
| | | 3/24/2022 – Submitted for Legal review | |
| | | 8/13/2021 – Board approved language | |
| SLPA Academic and Program | 11/10/2023 | 3/1/2023 – Submitted for Legal review | Public comment period closed 12/27/2022 |
| Requirements | | 11/11/2022 – Initial 45-day comment period | 12/21/2022 |
| | | 11/1/2022 – Submitted for Agency review | |
| | | 10/18/2022 – Submitted for DCA review | |
| | | 8/19/2022 – Submitted for Legal review | |
| | | 8/12/2022 – Board approved language | |
| SLPA Supervision Requirements | 11/10/2023 | 3/9/2023 – 15-day comment period | Public comment period closed 3/24/2023 |
| requirements | | 2/24/2023 – Board approved modified text | 3/24/2023 |
| | | 11/11/2022 – Initial 45-day comment period | |
| | | 11/3/2022 – Submitted for Agency review | |
| | 10/28/2022 – Submitted for DCA review | | |
| | | 8/18/2022 – Submitted for Legal review | |
| | | 5/13/2022 – Board approved language | |

| Rulemaking File | Final OAL Filing Date | Status | Comments |
|---|-----------------------|--|---|
| Fingerprinting Requirements | | 8/31/2022 – Submitted for Legal review 5/13/2022 – Board approved language | Board staff working with DCA Budgets Office to develop the Economic and Fiscal Impact Statement |
| Examination Requirements for Hearing Aid Dispensers and Dispensing Audiologists | | 5/13/2022 – Board approved language | Board staff drafting regulatory documents |
| SLP-AU CPD Requirements | | 1/31/2023 – Submitted for Legal review 8/12/2022 – Board approved language | Board staff working with DCA Legal |
| HAD CE Requirements | | 8/12/2022 – Board approved language | Board staff drafting regulatory documents |

e) <u>Licensing Report</u>

As reported at the last Board meeting, all application types are now available through the online system. The system development and troubleshooting workload is being absorbed by Board Licensing staff. Board staff is also working through the licensing backlog created as a result of the project workload. Our goal is to get through most of the existing backlog in the next eight weeks.

<u>Licensing Cycle Times</u> – The chart below provides a snapshot of the Board's current and past licensing processing times. We have seen a significant increase in licensing cycle times since during the past four months. Licensing staff are processing backlogged paper applications received prior to certain application types being launched online while completing other project implementation tasks for the new online application system.

| Licensing Cycle Times | 5/1/22 | 8/1/22 | 11/1/22 | 2/1/23 | Current |
|---|---------|---------|---------|----------|----------|
| Speech-Language Pathologists (SLP) and Audiologists (AUD) Complete Licensing Applications | 4 weeks | 6 weeks | 8 weeks | 12 weeks | 13 weeks |

| Licensing Cycle Times | 5/1/22 | 8/1/22 | 11/1/22 | 2/1/23 | Current |
|--|---------|---------|---------|---------|----------|
| Review and Process SLP and AUD Supporting Licensing Documents | 3 weeks | 4 weeks | 7 weeks | 9 weeks | 12 weeks |
| Review and Process RPE Applicant's Verification of Experience Forms for Full Licensure | 3 weeks | 5 weeks | 3 weeks | 7 weeks | 8 weeks |
| Hearing Aid Dispensers (HAD) Applications | 3 weeks | 4 weeks | 5 weeks | 9 weeks | 12 weeks |

f) Practical Examination Report

The practical examination that was scheduled for April 21-22 was postponed to July 14-15, 2023. Below is a summary of results from the January examination.

| HAD Practical Examination Results for January | | | | | | | | | | | | |
|---|----------------------|------------|----------|----|-----|--|--|--|--|--|--|--|
| Candidate Type | Number of Candidates | Failed | % | | | | | | | | | |
| Applicants with Supervision (Temporary Trainee License) | | | | | | | | | | | | |
| Hearing Aid Dispensers | 19 | 9 | | 10 | | | | | | | | |
| Audiologists | | | | | | | | | | | | |
| Required Professional Experience | 2 | 1 | | 1 | | | | | | | | |
| Aide | | | | | | | | | | | | |
| Applicants Licensed in | Another State | (Temporary | y Licens | e) | | | | | | | | |
| Hearing Aid Dispenser | 6 | 2 | | 4 | | | | | | | | |
| Audiologist | 2 | 2 | | | | | | | | | | |
| Applican | ts without Supe | rvision | | | | | | | | | | |
| Hearing Aid Dispensers | 8 | 4 | | 4 | | | | | | | | |
| Audiologists | 4 | 2 | | 2 | | | | | | | | |
| Required Professional Experience | | | | | | | | | | | | |
| Total Number of Candidates | 41 | 20 | 49% | 21 | 51% | | | | | | | |

g) Enforcement Report

The Board received 96 complaints and subsequent arrest notifications through the third quarter of the 2022-23 reporting year. During this same period the Board has issued four (4) citation and fines and one (1) cease and desist letter.

There are currently three (3) formal discipline cases pending with the Attorney General's Office. The Board is currently monitoring 19 probationers of which six (6) probationers require drug or alcohol testing and three (3) are in a tolled status. Included in your Board materials (Item 6g) is the Board's statistical Enforcement Report.

The following disciplinary actions have been adopted by the Board during the past 12 months:

| Name | License No. | License Type | Case No. | Effective Date | Action Taken |
|--|----------------|---|-----------------|----------------------|--|
| Green (Malek), Natalia Chojnacka | SP 22694 | Speech- Language Pathologist | 1I-2019- 175 | November 18, 2022 | Revocation Stayed, Three Years' Probation with Specified Terms and Conditions |
| Banaga, Ramon Rocello | SPA 3446 | Speech- Language Pathology Assistant | 1I-2017- 073 | August 19, 2022 | Revocation Stayed, Seven Years' Probation with Specified Terms and Conditions |
| Swanson, Robin | HA 3104 | Hearing Aid Dispenser | D1-2012- 98 | July 28, 2022 | Revocation of License |
| Arreola, Diana | SPA 5384 | Speech- Language Pathology Assistant | 1I-2019- 177 | June 2, 2022 | Voluntary Surrender of License |
| Brasier, Carley Amara Green | SP 20434 | Speech- Language Pathologist | 1I-2018- 222 | May 28, 2022 | Revocation Stayed, Five Years' Probation with Specified Terms and Conditions |
| Lilly, Alice Penelope | HA 8322 | Hearing Aid Dispenser | 1C-2018- 168 | May 6, 2022 | Revocation Stayed, Three Years' Probation with Specified Terms and Conditions |
| Colbert, Michael | HA 5026 | Hearing Aid Dispenser | 1C-2018- 081 | May 1, 2022 | Voluntary Surrender of License |

Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board - 0376 FY 2022-23 BUDGET REPORT

April 27, 2023 Board Meeting

FM 7

| | FY 2017-18 | FY 2018-19 | FY 2019-20 | FY 2020-21 | FY 2021-22 | | | FY 2022- | 23 | |
|---------------------------------|--------------|--------------|--------------|---------------|---------------|------------|--------------|-----------|---------------|--------------|
| | ACTUAL | ACTUAL | ACTUAL | ACTUAL | ACTUAL | GOVERNOR'S | CURRENT YEAR | | | |
| | EXPENDITURES | EXPENDITURES | EXPENDITURES | EXPENDITURES | EXPENDITURES | BUDGET | EXPENDITURES | PERCENT | PROJECTIONS | UNENCUMBERED |
| OBJECT DESCRIPTION | (MONTH 13) | (MONTH 13) | (MONTH 13) | (Prelim FM13) | (Prelim FM13) | 2022-23 | 01.31.2023 | SPENT | TO YEAR END | BALANCE |
| PERSONNEL SERVICES | | | | | | | | | | |
| Salary & Wages (Staff) | 478,930 | 525,967 | 601,545 | 599,726 | 746,598 | 748,000 | 481,867 | 64% | 746,997 | 1,003 |
| Statutory Exempt (EO) | 91,296 | 94,944 | 98,268 | 92,318 | 106,164 | 82,000 | 66,465 | 81% | 129,500 | (47,500 |
| Temp Help | 8,446 | 224 | 64,729 | 38,449 | 57,039 | 1,000 | 28,463 | 2846% | , | (27,463 |
| Board Member Per Diem | 5,100 | 4,700 | 4,600 | 1,700 | 5,100 | 6,000 | 3,400 | 57% | , | 1,900 |
| Overtime/Flex Elect | 19,003 | 36,663 | 55,901 | 54.620 | 67,286 | 5,000 | 47,995 | 960% | 49,895 | (44,895 |
| Staff Benefits | 309,624 | 332,488 | 434,247 | 418,932 | 489,282 | 485,000 | 333,882 | 69% | 533,800 | (48,800 |
| TOTALS, PERSONNEL SVC | 912,400 | 994,986 | 1,259,290 | 1,205,746 | 1,471,470 | 1,327,000 | 962,072 | 72% | | (165,755 |
| OPERATING EXPENSE AND EQUIPMENT | · | | | | | | , | | , | , , |
| General Expense | 42,122 | 34.923 | 48,858 | 67,144 | 74,273 | 68,000 | 23,902 | 35% | 42,811 | 25,189 |
| Printing | 9,772 | 10,587 | 11,227 | 19,251 | 40,231 | 28,000 | 20,471 | 73% | , | 6,351 |
| Communication | 6,228 | 5,986 | 7,072 | 7,482 | 3,518 | 21,000 | 1,040 | 5% | , | 16,920 |
| Postage | 25,482 | 19,259 | 7,155 | 1,725 | 6,407 | 12,000 | 2,066 | 17% | , | 8,800 |
| Insurance | 20,402 | 4,040 | 25 | 158 | 22 | 0 | 2,000 | 0% | l 100 | (100 |
| Travel In State | 15,163 | 5,210 | 13,115 | 9,148 | 11,088 | 30,000 | 7,040 | 23% | 12,674 | 17,326 |
| Training | 0,100 | 0,2.0 | 7,088 | 0,110 | 175 | 9,000 | 325 | 23% 4% | 2,905 | 6,095 |
| Facilities Operations | 73,447 | 86,769 | 101,321 | 82,568 | 126,495 | 99,000 | 79,237 | 80% | 140,234 | (41,954 |
| C & P Services - Interdept. | 38 | 49 | 52 | 70 | 82 | 24,000 | 0 | 0% | | 24,000 |
| Attorney General | 133,121 | 112,665 | 156,882 | 298,782 | 200,014 | 154,500 | 110,222 | 71% | | 500 |
| Office Admin. Hearings | 45,135 | 37,170 | 8,025 | 128,785 | 38,496 | 27,500 | 13,172 | 48% | 26,758 | 742 |
| C & P Services - External | 82,277 | 71,696 | 73,529 | 79,957 | 64,415 | 70,000 | 19,481 | 28% | | 15,399 |
| DCA Pro Rata | 339,000 | 392,000 | 367,221 | 355,665 | 463,371 | 464,000 | 331,500 | 71% | 464,000 | 0 |
| DOI - Investigations | 153,000 | 200,000 | 200,908 | 32,198 | 96,124 | 66,000 | 49,500 | 75% | 66,000 | 0 |
| Interagency Services | 0 | 0 | 0 | 2,196 | 118 | 29,000 | 310 | 1% | | 28,690 |
| IA w/ OPES | 0 | 500 | 67,039 | 24,264 | 47,009 | 60,000 | 0 | 0% | 17,308 | 42,692 |
| Consolidated Data Center | 3,258 | 195 | 4,971 | 14,553 | 20,198 | 17,000 | 8,691 | 51% | | 8,309 |
| Information Technology | 1,240 | 2,013 | 431 | 5,210 | 4,171 | 17,000 | 3,277 | 19% | 3,277 | 13,723 |
| Equipment | 3,220 | 0 | 15,400 | 30,670 | 1,193 | 12,000 | 0 | 0% | | 10,361 |
| Other Items of Expense | | 0 | 113,356 | 2,553 | 1,746 | 0 | 1,326 | 0% | | (3,000 |
| TOTALS, OE&E | 1,001,524 | 1,199,919 | 1,172,675 | 1,117,103 | 1,194,721 | 1,208,000 | 96,300 | 8% | 1,027,957 | 180,043 |
| TOTAL EXPENSE | 1,913,924 | 2,194,905 | 2,431,965 | 2,322,849 | 2,666,191 | 2,535,000 | 1,604,977 | 63% | 2,520,712 | 14,288 |
| | | | | | | | | SURPLL | JS/(DEFICIT): | 0.56% |

Prepared 4.20.2023

2023-24 Governor's Budget FM7

| | Actual 021-22 | 20 | CY)22-23 | 20 | BY 023-24 | BY +1)24-25 | 3Y +2)25-26 |
|--|------------------|----|--------------|----|--------------|-----------------|-----------------|
| BEGINNING BALANCE | \$ 1,545 | \$ | 1,134 | \$ | 1,546 | \$ 1,578 | \$ 1,520 |
| Prior Year Adjustment | \$ -105 | \$ | 0 | | | \$ 0 | \$ 0 |
| Adjusted Beginning Balance | \$ 1,440 | \$ | 1,134 | \$ | 1,546 | \$ 1,578 | \$ 1,520 |
| REVENUES, TRANSFERS AND OTHER ADJUSTMENTS | | | | | | | |
| Revenues | | | | | | | |
| 4121200 - Delinquent fees | \$ 31 | \$ | 31 | \$ | 32 | \$ 32 | \$ 32 |
| 4127400 - Renewal fees | \$ 2,001 | \$ | 2,441 | \$ | 2,397 | \$ 2,397 | \$ 2,397 |
| 4129200 - Other regulatory fees | \$ 73 | \$ | 65 | \$ | 55 | \$ 55 | \$ 55 |
| 4129400 - Other regulatory licenses and permits | \$ 480 | \$ | 565 | \$ | 562 | \$ 562 | \$ 562 |
| 4163000 - Income from surplus money investments | \$ 6 | \$ | 9 | \$ | 30 | \$ 22 | \$ 21 |
| 4171400 - Escheat of unclaimed checks and warrants | \$ 3 | \$ | 3 | \$ | 0 | \$ 0 | \$ 0 |
| 4172500 - Miscellaneous revenues | \$ 0 | \$ | 1 | \$ | 1 | \$ 1 | \$ 1 |
| Totals, Revenues | \$ 2,594 | \$ | 3,115 | \$ | 3,077 | \$ 3,069 | \$ 3,068 |
| Operating Transfers To General Fund 0001 per EO E 21/22-276 Revised (AB 84) | \$ -82 | \$ | 0 | \$ | 0 | \$ 0 | \$ 0 |
| Totals, Transfers and Other Adjustments | \$ -82 | \$ | 0 | \$ | 0 | \$ 0 | \$ 0 |
| TOTALS, REVENUES, TRANSFERS AND OTHER ADJUSTMENTS | \$ 2,512 | \$ | 3,115 | \$ | 3,077 | \$ 3,069 | \$ 3,068 |
| TOTAL RESOURCES | \$ 3,952 | \$ | 4,249 | \$ | 4,623 | \$ 4,647 | \$ 4,588 |
| Expenditures: | | | | | | | |
| 1111 Department of Consumer Affairs Regulatory Boards, Bureaus, Divisions (State Operations) | \$ 2,622 | \$ | 2,521 | \$ | 2,739 | \$ 2,821 | \$ 2,906 |
| 9892 Supplemental Pension Payments (State Operations) | \$ 38 | \$ | 38 | \$ | 38 | \$ 38 | \$ 0 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | \$ 158 | \$ | 144 | \$ | 268 | \$ 268 | \$ 268 |
| TOTALS, EXPENDITURES AND EXPENDITURE ADJUSTMENTS | \$ 2,818 | \$ | 2,703 | \$ | 3,045 | \$ 3,127 | \$ 3,174 |
| FUND BALANCE | | | | | | | |
| Reserve for economic uncertainties | \$ 1,134 | \$ | 1,546 | \$ | 1,578 | \$ 1,520 | \$ 1,414 |
| Months in Reserve | 5.0 | | 6.1 | | 6.1 | 5.7 | 5.3 |

NOTES:

Assumes workload and revenue projections are realized in BY +1 and ongoing. Expenditure growth projected at 3% beginning BY +1.

2022-23 includes Attorney General and Office of Administrative Hearings augmentation.

Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board

| | FY |
|-------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| LICENSES ISSUED | 15/16 | 16/17 | 17/18 | 18/19 | 19/20 | 20/21 | 21/22 | 22/23 |
| | | | | | | | | QTR 3 |
| AU | 48 | 53 | 77 | 63 | 63 | 71 | 79 | 46 |
| DAU | 26 | 24 | 30 | 35 | 31 | 23 | 28 | 22 |
| AUT | 0 | 0 | 2 | 4 | 3 | 1 | 4 | 1 |
| SLP | 1,352 | 1,457 | 1,482 | 1,446 | 1,444 | 1,621 | 1,782 | 1,240 |
| SPT | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| SLPA | 606 | 501 | 558 | 602 | 615 | 505 | 649 | 461 |
| RPE | 834 | 897 | 945 | 977 | 1,059 | 1,039 | 1,177 | 869 |
| AIDE | 44 | 44 | 33 | 32 | 44 | 22 | 44 | 43 |
| PDP | 22 | 21 | 20 | 15 | 5 | 13 | 14 | 9 |
| HAD Permanent | 140 | 120 | 137 | 135 | 95 | 55 | 108 | 73 |
| HAD Trainee | 180 | 152 | 169 | 156 | 116 | 93 | 124 | 115 |
| HAD Licensed in Another State | 16 | 16 | 20 | 17 | 12 | 11 | 22 | 7 |
| HAD Branch | 407 | 315 | 341 | 333 | 312 | 249 | 263 | 124 |
| TOTAL LICENSES ISSUED | 3,675 | 3,600 | 3,814 | 3,815 | 3,799 | 3,703 | 4,295 | 3,010 |

| | FY |
|-------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|
| LICENSEE POPULATION | 15/16 | 16/17 | 17/18 | 18/19 | 19/20 | 20/21 | 21/22 | 22/23 |
| | | | | | | | | QTR 3* |
| AU | 556 | 698 | 720 | 831 | 837 | 830 | 869 | 856 |
| DAU | 1,045 | 1,211 | 1,246 | 1,334 | 1,384 | 1,375 | 1,416 | 1,454 |
| Both License Types | 1,601 | 1,909 | 1,966 | 2,165 | 2,221 | 2,205 | 2,285 | 2,310 |
| AUT | 0 | 0 | 2 | 4 | 7 | 8 | 8 | 7 |
| SLP | 14,860 | 18,024 | 19,161 | 21,374 | 22,527 | 23,309 | 24,894 | 25,837 |
| SPT | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| SLPA | 2,795 | 3,752 | 4,118 | 4,822 | 5,297 | 5,538 | 5,962 | 6,202 |
| RPE | 806 | 1,174 | 1,232 | 1,364 | 1,595 | 1,626 | 1,740 | 1,801 |
| AIDE | 133 | 235 | 216 | 245 | 273 | 290 | 306 | 304 |
| PDP | 160 | 174 | 177 | 178 | 165 | 160 | 152 | 152 |
| HAD Permanent | 996 | 1,179 | 1,266 | 1,380 | 1,407 | 1,398 | 1,439 | 1,458 |
| HAD Trainees | 158 | 238 | 204 | 214 | 237 | 243 | 267 | 297 |
| HAD Licensed in Another State | 18 | 18 | 28 | 31 | 42 | 47 | 66 | 61 |
| HAD Branch Office | 963 | 1,409 | 1,297 | 1,347 | 1,401 | 1,411 | 1,429 | 1,369 |
| TOTAL LICENSEES | 22,490 | 28,112 | 29,667 | 33,124 | 35,172 | 36,235 | 38,549 | 39,798 |

^{*} Data as of April 17, 2023

Legend:

AU = Audiologist RPE = Required Professional Experience SLPA = Speech-Language Pathology

 $DAU = Dispensing \ Audiologist \qquad PDP = Continuing \ Professional \ Development \ Assistant$

AUT = Audiologist Temporary SLP = Speech-Language Pathologist

HAD = Hearing Aid Dispenser SPT = Speech-Language Pathologist Temporary

Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board Enforcement Report

| COMPLAINTS AND | FY | FY | FY | FY | FY | FY 2022-23 |
|------------------------|---------|---------|---------|---------|---------|------------|
| CONVICTIONS | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Complaints Received | 329 | 158 | 164 | 91 | 79 | 61 |
| Convictions Received | 128 | 124 | 103 | 45 | 48 | 35 |
| Average Days to Intake | 2 | 1 | 1 | 5 | 7 | 8 |
| Closed | 10 | 5 | 17 | 2 | 0 | 0 |
| Pending | 1 | 1 | 1 | 6 | 0 | 0 |

| | | FY | FY | FY | FY | FY | FY 2022-23 |
|--------------------------|-----|---------|---------|---------|---------|---------|------------|
| INVESTIGATIONS De | esk | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Assigned | | 446 | 273 | 260 | 131 | 131 | 102 |
| Closed | | 388 | 188 | 189 | 193 | 178 | 102 |
| Average Days to Complete | | 102 | 148 | 222 | 380 | 598 | 340 |
| Pending | | 126 | 198 | 260 | 198 | 132 | 123 |

| | FY | FY | FY | FY | FY | FY 2022-23 |
|--------------------------|---------|---------|---------|---------|---------|------------|
| INVESTIGATIONS DO | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Assigned | 17 | 13 | 9 | 0 | 1 | 0 |
| Closed | 19 | 12 | 10 | 8 | 6 | 1 |
| Average Days to Complete | 462 | 752 | 770 | 839 | 1,409 | 150 |
| Pending | 19 | 20 | 19 | 11 | 2 | 1 |

| | FY | FY | FY | FY | FY | FY 2022-23 |
|------------------------------------|---------|---------|---------|---------|---------|------------|
| ALL TYPES OF INVESTIGATIONS | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Closed w/o Discipline Referral | 386 | 183 | 181 | 187 | 178 | 99 |
| Cycle Time - No Discipline | 115 | 184 | 251 | 368 | 491 | 338 |

| | FY | FY | FY | FY | FY | FY 2022-23 |
|-------------------------------|---------|---------|---------|---------|---------|------------|
| CITATIONS/CEASE & DESIST | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Citations Issued | 26 | 16 | 11 | 6 | 7 | 4 |
| Avg Days to Citation & Fine | 82 | 155 | 336 | 429 | 1,138 | 790 |
| Cease & Desist Letters Issued | 3 | 1 | 0 | 2 | 0 | 1 |

| | FY | FY | FY | FY | FY | FY 2022-23 |
|----------------------------------|---------|---------|---------|---------|---------|------------|
| ATTORNEY GENERAL CASES | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Pending at the AG | 22 | 21 | 23 | 21 | 10 | 2 |
| Accusations Filed | 5 | 4 | 8 | 8 | 5 | 1 |
| Statement of Issue (SOI) Filed | 2 | 5 | 1 | 2 | 0 | 0 |
| Accusation Withdrawn, Dismissed, | | | | | | |
| Declined | 4 | 3 | 1 | 0 | 1 | 2 |
| SOI Withdrawn, Dismissed, | | | | | | |
| Declined | 0 | 4 | 1 | 0 | 0 | 0 |
| Average Days to Discipline | 780 | 1,741 | 824 | 2,245 | 1,362 | 1,221 |

Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board Enforcement Report

| ATTORNEY GENERAL FINAL | FY | FY | FY | FY | FY | FY 2022-23 |
|-----------------------------|---------|---------|---------|---------|---------|------------|
| OUTCOME | 2017-18 | 2018-19 | 2019-20 | 2020-21 | 2021-22 | Q3 |
| Probation Only | 4 | 4 | 5 | 1 | 1 | 4 |
| Surrender of License | 3 | 1 | 3 | 3 | 2 | 0 |
| License Denied (SOI) | 3 | 2 | 1 | 0 | 1 | 0 |
| Suspension & Probation | 0 | 1 | 0 | 0 | 2 | 0 |
| Revocation-No Stay of Order | 3 | 1 | 3 | 2 | 4 | 0 |
| Public Reprimand/Reproval | 1 | 0 | 0 | 0 | 0 | 0 |

Note: All data provided prior to FY 2022-23 uses complaint open date as the start to any "Days to" data provided in this report.