

HearingLife

BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY · GAVIN NEWSOM, GOVERNOR

SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD 1601 Response Road, Suite 260, Sacramento, CA 95815 P (916) 287-7915 | www.speechandhearing.ca.gov



# TELECONFERENCE BOARD MEETING NOTICE AND AGENDA

The Speech-Language Pathology & Audiology & Hearing Aid Dispensers Board (Board) will hold a Board Meeting in person and via WebEx Events on

# Friday, February 2, 2024, beginning at 12:00 – 1:00 p.m.

# TELECONFERENCE LOCATIONS FOR OBSERVATION AND PUBLIC COMMENT:

Board Office 1601 Response Road, Suite 260 (2<sup>nd</sup> Floor) Sacramento, CA 95815 (916) 287-7915

Geleris Family Education Center 427 W. Carroll Avenue, Room 2 Glendora, CA 91741 626-335-0611

714 West Lodi Avenue Lodi, CA 95240 209-333-0338

Moor Park Public Library 699 Moorpark Avenue Moorpark, CA 93021 805-517-6370

# IMPORTANT NOTICE TO THE PUBLIC:

The Board will hold this public meeting via WebEx, to observe and participate from a remote location, please log on to WebEx (Instructions to connect to this meeting can be found at the end of this agenda). To participate in the WebEx Events meeting, please log on to the following websites each day of the meeting:

#### Friday, February 2, 2024, WebEx Link, beginning at 12:00 – 1:00 p.m.: If accessing by computer or online:

https://dca-meetings.webex.com/dca-meetings/j.php?MTID=mb36e000a0cbea62e05a408f25e2db3bc If accessing by phone: Dial +1-415-655-0001 US Toll, Access code: 248 786 21466, Passcode: 75724202

Members of the public may, but are not obligated to, provide their names or personal information as a condition of observing or participating in the meeting. When signing into the WebEx platform, participants may be asked for their name and email address. Participants who choose not to provide their names will be required to provide a unique identifier, such as their initials or another alternative, so that the meeting moderator can identify individuals who wish to make a public comment. Participants who choose not to provide their email address may utilize a fictitious email address in the following sample format: XXXXX@mailinator.com

To observe the meeting without the ability to provide public comment, a live stream of the Board Meeting will available during each day of the meeting at https://thedcapage.blog/webcasts/

Due to potential technical difficulties, please consider submitting written comments by 5:00 pm, Wednesday, January 31, 2024, to speechandhearing@dca.ca.gov for consideration.

#### Action may be taken on any agenda item. Items may be taken out of order to facilitate the effective transaction of Board business.

# Friday, February 2, 2024, beginning at 12:00 p.m.

#### **Board Members**

Gilda Dominguez, Speech-Language Pathologist, Board Chair Amy White, Dispensing Audiologist, Vice Chair Tod Borges, Hearing Aid Dispenser Karen Chang, Public Member John Danduran, Hearing Aid Dispenser Charles Sanders, Dispensing Audiologist Tulio Valdez, Otolaryngologist, Public Member VACANT, Public Member VACANT, Speech-Language Pathologist

#### Full Board Meeting Agenda

#### **OPEN SESSION**

- 1. Call to Order / Roll Call / Establishment of Quorum
- 2. Public Comment for Items Not on the Agenda (*The Board may not discuss or take any action on any item raised during this public comment section, except to decide whether to place the matter on the agenda of a future meeting (Government Code Sections 11125, 11125.7(a))*
- Discussion and Possible Action to Ratify Amended Regulations Regarding Speech-Language Pathology Assistant (SLPA) Supervision Requirements as stated in Title 16, CCR sections 1399.170, 1399.170.2, and 1399.170.15 through 1399.170.18
- 4. Future Agenda Items
- 5. Adjournment

Agendas and materials can be found on the Board's website at www.speechandhearing.ca.gov.

Action may be taken on any item on the agenda. The time and order of agenda items are subject to change at the discretion of the Board Chair and may be taken out of order. In accordance with the Bagley-Keene Open Meeting Act, all meetings of the Board are open to the public. In the event a quorum of the board is unable to attend the meeting, or the board is unable to maintain a quorum once the meeting is called to order, the members present may, at the Chair's discretion, continue to discuss items from the agenda and make recommendations to the full board at a future meeting. Adjournment, if it is the only item that occurs after a closed session, may not be webcast.

The meeting facility is accessible to persons with a disability. Any person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting the Board office at (916) 287-7915 or making a written request to Cherise Burns, Assistant Executive Officer, 1601 Response Road, Suite 260, Sacramento, California 95815. Providing your request at least five (5) business days before the meeting will help ensure availability of the requested accommodation.

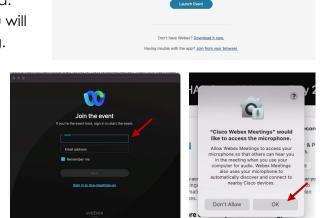
#### If joining using the meeting link

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Click on the meeting link. This can be found in the meeting notice you received.

For

- If you have not previously used Webex on your device, your web browser may ask if you want to open Webex. Click "Open Cisco Webex Start" or "Open Webex", whichever option is presented. DO NOT click "Join from your browser", as you will not be able to participate during the meeting.
- Benter your name and email address\*. Click "Join as a guest" . Accept any request for permission to use your microphone and/or camera.



Click Open Webex on the prompt.

If the prompt doesn't appear, click Launch Event instead.

\* Members of the public are not obligated to provide their name or personal information and may provide a unique identifier such as their initials or another alternative, and a fictitious email address like in the following sample format: XXXXX@mailinator.com.

#### OR -If joining from Webex.com Click on "Join a Meeting" at the top of the Webex window. 🕦 webex Start For Free Products ~ Pricing Devices ~ Solutions ~ Resources ~ Sign In Join a Meeting Enter the meeting/event number 2 and click "Continue". Enter the Enter the meeting number on event password and click "OK". To view more information about the event, enter the event password. This can be found in the meeting notice you received. Event number: 2482 000 5913 Enter the event passv OK The meeting information will < Back to List be displayed. Click "Join (Ŧ) Test Event Event". Jones, Shelly@DCA 9:45 AM - 9:55 AM Thursday, Oct 14 2021 (UTC-07:00) Pacific Time (US & Canada) Join information OR

#### Connect via telephone\*:

You may also join the meeting by calling in using the phone number, access code, and passcode provided in the meeting notice.

#### Microphone

Microphone control (mute/unmute button) is located on the command row.



Green microphone = Unmuted: People in the meeting can hear you.

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Red microphone = Muted: No one in the meeting can hear you.

Note: Only panelists can mute/unmute their own microphones. Attendees will remain muted unless the moderator enables their microphone at which time the attendee will be provided the ability to unmute their microphone by clicking on "Unmute Me".

# If you cannot hear or be heard

Click on the bottom facing arrow located on the Mute/Unmute button.

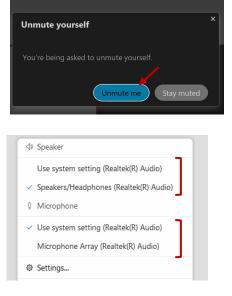
From the pop-up window, select a different:

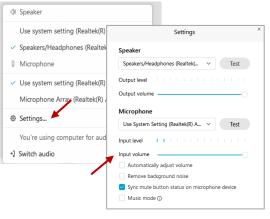
- Microphone option if participants can't hear you.
- Speaker option if you can't hear participants.

# If your microphone volume is too low or too high

Locate the command row – click on the bottom facing arrow located on the Mute/Unmute button.

- From the pop-up window: Click on "Settings...":
- Drag the "Input Volume" located under microphone settings to adjust your volume.





# Audio Connectivity Issues

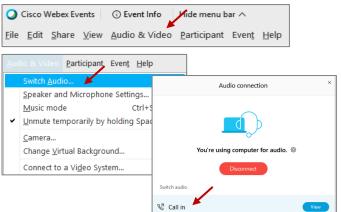
If you are connected by computer or tablet and you have audio issues or no microphone/speakers, you can link your phone through Webex. Your phone will then become your audio source during the meeting.



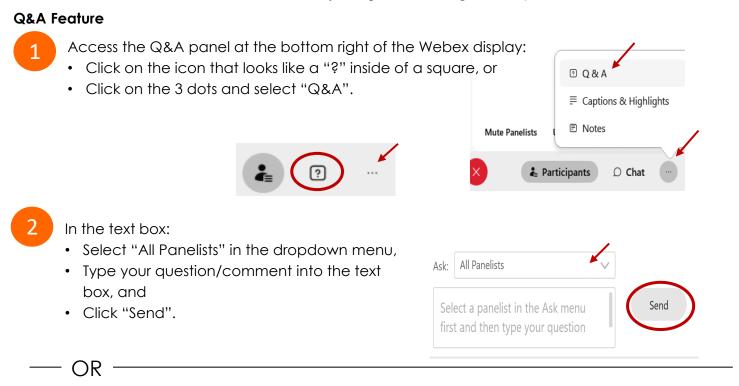
Click on "Audio & Video" from the menu bar.



Select the "Call In" option and following the directions.



The question-and-answer (Q&A) and hand raise features are utilized for public comments. NOTE: This feature is not accessible to those joining the meeting via telephone.



### Hand Raise Feature

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- Hovering over your own name.
- Clicking the hand icon that appears next to your name.
- Repeat this process to lower your hand.

If connected via telephone:

- Utilize the raise hand feature by pressing \*3 to raise your hand.
- Repeat this process to lower your hand.

# **Unmuting Your Microphone**

The moderator will call you by name and indicate a request has been sent to unmute your microphone. Upon hearing this prompt:

• Click the **Unmute me** button on the pop-up box that appears.



– OR

If connected via telephone:

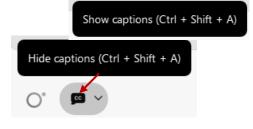
• Press \*3 to unmute your microphone.

# **Closed Captioning**

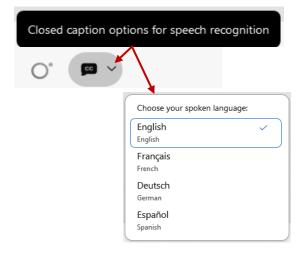
Webex provides real-time closed captioning displayed in a dialog box on your screen. The captioning box can be moved by clicking on the box and dragging it to another location on your screen.

Jones, Shelly@DCA: Public comments today. We will be utilizing the question and answer feature in Webex

The closed captioning can be hidden from view by clicking on the closed captioning icon. You can repeat this action to unhide the dialog box.



You can select the language to be displayed by clicking the drop-down arrow next to the closed captioning icon.



You can view the closed captioning dialog box with a light or dark background or change the font size by clicking the 3 dots on the right side of the dialog box.

Jones, Shelly@DCA: Public comments today. We will be utilizing the question and answer feature in Webex	<b>&gt;</b>   ·	<
<		Back to default position and size Use light background Font size
		View contions and highlights



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# MEMORANDUM

DATE	January 30, 2024
ТО	Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board
FROM	Maria Liranzo, Legislation/Regulation/Budget Analyst
SUBJECT	Agenda Item 3: Discussion and Possible Action to Amend Regulations Regarding Speech-Language Pathology Assistant (SLPA) Supervision Requirements as stated in Title 16, CCR sections 1399.170, 1399.170.2, and 1399.170.15 through 1399.170.18

# **Background**

This subject matter of the proposed regulatory modification regarding permission of telesupervision as "direct" supervision of a speech-language pathology assistant (SLPA) has previously come before the Board and the Board has already approved this policy. However, upon submission to the Office of Administrative Law (OAL), OAL recommended further modifications.

Here is a brief summary. The regulations require a higher level of supervision during the first 90 days of work following a SLPA's initial licensure, require both a minimum level of experience and professional development training in supervision before supervising a SLPA, permit the supervision of three full-time equivalent support personnel not to exceed six support personnel at any time, incorporate by reference a revised supervision form, and revise the notice of termination form.

The Board submitted the rulemaking file on November 15, 2023, to OAL, and subsequently withdrew it on December 29, 2023, to modify the text to include recommended modifications to the text from OAL. The text was modified as follows and published for public comment between January 12 – January 29, 2004:

- 1. Amended section 1399.170 to add subsection (k) to define "assistant" to mean "speechlanguage pathology assistant" and amend statutory citations under the note. This is necessary as the word "assistant" is used instead of "speech-language pathology assistant" throughout the regulations and the form incorporated by this regulation. Amending statutory citations increases clarity as subsections and paragraph frequently change with legislative changes thereby making statutory citations under the note outdated.
- Amended section 1399.170.2(d) to remove duplicative requirements, clarify that 1399.170.15(b)(4) is a section from regulations, and amend statutory citations under the note. The requirement is duplicative because it can be found in section 1399.170.15. 1399.170.15(b)(4) is amended to increase clarity because without it is not clear if this is a

statutory or regulatory section. The statutory citations under the note are being amended for the same purpose and rationale as stated in #1.

- 3. Amended section 1399.170.15 to revise the sentence in subsection (b)(3) regarding the monitoring and evaluating the SLPA's ability to say, "monitor and evaluate the ability of the assistant to provide services to the particular clientele being treated at the setting where the service is being provided," and amend subsection (c) to change the form revision date and require that it be signed, and amend statutory citations under the note. This change is necessary because speech-language pathology services can be provided to a board range of individuals and in a variety of location. This will maintain public safety as supervisors will be required to monitor and evaluate SLPA's ability and ensure that they are providing services appropriate for the client being serviced and the setting they are in with the client. The form revision date is being amended because the form is being amended for the reason stated at the end. The Board will require signature from both the supervisor and SLPA to ensure full compliance and the laws and regulations and as such the regulation will state that. The statutory citations under the note are being amended for the same purpose and rationale as stated in #1.
- 4. Amended section 1399.170.16 to amend statutory citations under the note. The statutory citations under the note are being amended for the same purpose and rationale as stated in #1.
- 5. Amended section 1399.170.17 to amend the form revision date and require that it be signed and amend the statutory citations under the note. The form revision date and the statutory citations under the note are being amended, and the form is required to be signed for the same purpose and rationale as stated in #3.
- 6. Amended section 1399.170.18(b) remove the "cause for denial of the application to terminate a supervision, or" and amend statutory citations under the note. This language is removed because the termination of supervision is a notice and not an application. It is also removed because the Board doesn't deny it but instead it doesn't process it. Situations where the Board may receive a notice of termination and doesn't process it are a supervision that were never registered with the Board or the termination of a supervision with a person who is not a licensed SLPA. The statutory citations under the note are being amended for the same purpose and rationale as stated in #1.
- 7. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend the instructions to supervisor to require them to read page 2 and 3, sign only page 3, and make corrections by crossing out the incorrect information and initialing next to the change. This is also amended to remove reference to fax. These changes accurately reflect what is required of the supervisor to complete the form. This is necessary because the form is used to verify compliance with the requirements in CCR section 1399.170.15. In the event errors are made on the form, because the supervisor printed and filled out the form, the supervisor can correct the form in such a way that is clear to the Board by crossing out the incorrect information and initialing next to the change. This is necessary to avoid delays in processing the form because the Board uses the form to update license records which are available to the public. The reference to fax is unnecessary as the Board does not have a fax at its office.

- Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend the instructions to SLPA to require them to read and sign page 2. These changes accurately reflect what is required of the SLPA to help their supervisor complete the form. This is necessary because the form is used to verify compliance with the requirements in CCR section 1399.170.15.
- 9. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend Part C question 7 to be its own section and use check box instead of underline spaces. This change is for the purpose of clarity and consistency. The previous question is in the similar style and format.
- 10. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to remove the statement between Part C and before the Duties and Responsibilities of SLPA. This change removes duplicative information. Similar information can be found at the beginning of the form after the "instructions to speech-language pathology assistants."
- 11. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to remove the characters before and after the title of "Duties and Responsibilities of SLPA" and add item 2 regarding the continuing education required for SLPAs. This change is necessary for clarity because Business and Professions Code section 25381.1(b)(6) require SLPAs to continue their education after receiving the license with their supervisor acting as a professional development advisor. The characters are removed from the title as they are unnecessary and may confuse those who use screen readers to read this document online.
- 12. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to remove the characters before and after the title of "Duties and Responsibilities of Supervisor." The characters are removed from the title for the same purpose and rationale as stated in #11.
- 13. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend the "Duties and Responsibilities of Supervisor" item #1 to restate in the first person a simplified version of CCR section 1399.170.15(b)(1). This change is necessary for the purpose of clarity. The form restates a simplified version of the regulation in the first person for the purpose of compliance with the requirements set forth in CCR section 1399.170.15. The proposed regulation require that supervise not only possess but maintain a current, active, and unrestricted California license or credential and as such the form shall state that. It also permits credential in speech-language pathology services as well as language, speech, and hearing and as such the form shall state that. Language not found in the proposed regulations were removed from the form or modified to closely align with the proposed regulations.
- 14. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend the "Duties and Responsibilities of Supervisor" item #3 to align the form with the changes made to the proposed regulatory language in CCR section 1399.170.15(b)(3) regarding the monitoring and evaluating of the SLPA's ability to say,

*"monitor and evaluate the ability of the assistant to provide services to the particular clientele being treated and at the setting where the service is being provided."* The change is necessary for the purpose of consistency. Proposed regulatory language in CCR section 1399.170.15(b)(3) were changed and as such the form requires similar changes. The changes are made for the purpose and rationale as stated in #3.

- 15. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend the "Duties and Responsibilities of Supervisor" item #4 to align the form with the proposed regulatory language regarding the record supervisors must maintain on the initial supervision for newly licensed SLPAs. The change is necessary for the purpose of consistency. The proposed regulation in CCR section 1399.170.15(b)(4) requires all supervisors to maintain a record of the initial supervision for newly licensed SLPAs.
- 16. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to amend the "Duties and Responsibilities of Supervisor" item #11 to align the form with the proposed regulatory language regarding the form needing to be signed . This change is necessary for the purpose of consistency. The proposed regulation requires that the form is signed. The purpose and rationale of the signature is stated in #3.
- 17. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to move the title "Duties and Responsibilities of Supervisor cont'd" to be between item #12 and #13. This is necessary to avoid an unnecessary amount of empty space between information. This accurately reflect where page 3 will begin.
- 18. Amended the new Responsibility Statement for Supervision of a Speech-Language Pathology Assistant to remove brackets at the beginning and end of the form name, change the revision date, and identified actual page numbers. The form revision date is amended because the content of the form was changed for the for the reason stated in #7 through #17. The brackets are removed because they are unnecessary and may confuse those who use screen readers to read this document online. The page numbers are identified for the purpose of clarity. The form has a total of three (3) pages.

The Board received eighteen (18) written comments during the fourth 15-day comment period on the fourth modified text:

- Seven (7) in support and
- Eleven (11) were not related to the modifications made to the proposed regulations.

Board staff do not recommend any additional changes.

The Administrative Procedure Act (APA) does not require the Board to review or respond to written comments in support of this regulatory action during the final rulemaking process. However, the Board is required to review or respond to written comments that object or make a recommendation regarding the regulatory action or the procedures followed by the Board in proposing the regulatory action. The Board is also required to respond to any written comments received regarding the changes made to the proposed regulatory text if the comments received during the public comment period concern the most recent modifications made to the text.

# Summary of Comments and Board Responses (Fourth 15-Day Comment Period)

**Summary:** The Board received a comment regarding the rulemaking process and public comment.

1. Board Response: The Board decided not to change the proposed regulatory text to accommodate this comment because no objection or recommendation was provided. *Note:* Board staff responded to these comments and the responses are included in the Public Comments.

**Summary:** The Board received a comment expressing concerns that SLPAs will be unable to fill positions that come available as many current supervisors will no longer be able to take on new SLPAs if their current SLPA leaves and noted that a newly licensed speech-language pathologist will be unable to start supervising three (3) years post-graduation because the two (2) year practice experience requirement after the one (1) year required professional experience (CF-RPE). The comment further noted that changes could result in a decrease of desirability to hire CF-RPEs and a decreased ability to hire SLPAs in the private practice setting.

2. Board Response: The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment expressing support to the proposed changes because it will significantly enhance the profession, elevate the respect and recognition received, and offer a transformative opportunity for Speech-Language Pathologists. The comment noted that it will enable a single Speech-Language Pathologist (SLP) to establish and grow a successful practice with the assistance of up to three full time Speech-Language Pathology Assistants (SLPAs) or 6 part time SLPAs and aligns the profession more closely with others, such as dentistry, where practitioners often own and manage their thriving practices. The comment further noted that embracing these regulations means embracing progress, opens doors for SLPs and SLPAs alike, creates more income opportunities and allows for a broader reach to clients in need who will benefit from expanded and improved speech-language services.

**3. Board Response:** The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment expressing support for the proposed changes and noted that, as a small SLP practice, this will allow the supervision of SLPAs in rural areas where services are greatly needed and where SLPAs live, but can't practice due to lack of supervisors in their area.

4. Board Response: The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment recommending that the proposed text say, "maintain a current, active and unrestricted California license or current clear credential" and noted that it is not required for employment at a public school to have a license and therefore should include those with current, clear credentials from the Commission on Teacher Credentialing which would be the speech language pathology services credential or the clinical and rehabilitative services credential in speech language and hearing.

5. Board Response: The Board decided not to change the proposed regulatory text to accommodate this comment because the regulatory text already states this in section 1399.170.15(b)(1). The Board modified the text the new *Responsibility Statement for Supervision of a Speech-Language Pathology Assistant* to restate in the first person a simplified version of this section. This change was necessary for the purpose of clarity. Language not found in the proposed regulations were removed from the form or modified to closely align with the proposed regulations.

**Summary:** The Board received a comment expressing the following concerns regarding the 20% direct supervision requirements:

- This will likely lead to less individuals getting speech pathology services in the long run given the amount of support an SLP must provide to these new SLPAs under this particular metric.
- 20% direct supervision looks different for a part-time SLPA vs. a full-time SLPA, with the requirements being much higher for a full time SLPA.
- Many SLPs, organizations, and school districts will not want to hire and support new SLPAs.
- This is not a metric that will ensure SLPAs are trained and consumers are getting valuable treatment and, in fact, it feels arbitrary and reductive.
- Newly licensed SLPAs will cause added workload to SLPs and less consumers will be able to participate in actual speech therapy services and assessments as a result.
- There simply would not be time for 6-8 hours of direct supervision for a new SLPA in a school setting and would lead to students missing out on therapy minutes, IEP timelines being missed, and school districts opting to not hire SLPAs at all.
- Private practices and outpatient medical setting would reduce the number of evaluations and treatment sessions that an SLP could complete, which therefore would reduce the number of individuals who could access treatment.
- These time constraints impact programs fiscally because programs cannot bill for services rendered if consumers are not treated, and 6-8 billable hours a week will be lost to supervision hours that otherwise could have been filled with evaluations and treatment done by the SLP.
- Private practices and outpatient medical programs may no longer hire new SLPAs because they cannot afford to spend 6-8 hours/week directly supervising them and would have to take a loss on the SLP salaries for those hours because programs have limited bottom-lines that cannot afford this type of sacrifice.
- Some supervisors may be pressured to lie and indicate that the SLPA completed the 20% direct supervision during their first 90 days on the proposed form, which would result in the same situation we are currently in: lack of true supervision and oversight.

The comment recommend the following changes and noted that the proposed solutions are Specific, Measurable, Achievable, Relevant, and Time-Bound (SMART); will allow SLPAs to receive adequate supervision; will ensure that the supervising SLP is actively involved in the executed treatment plan of each consumer; will reduce the time constraints that would arise from the 20% direct supervision metric; will encourage organizations to continue to hire new SLPA grads; and will result in more consumers being able to participate in SLP assessments and treatment:

- Recommend supervisors to be available onsite to directly supervise SLPAs based on clinical judgement of consumer need in the first 90 days of licensure, and hold mandatory weekly 1-hour meetings with SLPAs throughout the duration of the supervisory relationship, regardless of if the SLPA is part-time or full-time.
- Prior to each weekly meeting, the supervising SLP will review the SLPA's treatment notes.
- During these weekly meetings, the supervising SLP will discuss the SLPAs cases and make treatment recommendations with the SLPA. If needed, the SLP will make a plan with the SLPA to participate in direct observation during treatment sessions based on the needs of the consumers.
- The SLP will maintain a written record of meeting notes for what is discussed and recommended at each weekly supervisory meeting.
- The board may choose to audit the supervising SLP's supervision notes at any time, similar to how they can audit SLP's proof of completed CEUs, to ensure the meetings are actually happening.
- 6. Board Response: The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment expressing agreement with the proposed changes and noted that the changes seem fitting as there should be two (2) or more years of full time (versus part time) experience before supervising a SLPA.

**7. Board Response:** The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment recommending that tele-supervision be allowed because children need services.

8. Board Response: The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment expressing agreement with increasing supervisors and support which can increase the amount of care provided to families and help SLPs focus on more detailed diagnostics and treatments whereas the SLPAs can focus on the less advanced areas. It

further expressed agreement with using gender-neutral terms when addressing individuals and the inclusion of other areas of practice in section 1399.170, and suggested, when dealing with pediatric clients, to enquiry with the parents to understand where they stand and what gender-term they are more comfortable with where their child is concerned.

**9. Board Response:** The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment noting that it will increase access to services up and down the state of California and noted that the licensee is asked all the time if they can help cover SLP vacancies of which many of those positions have some SLPA supervision. The comment further noted that the change would help more schools rest easy knowing their students are getting all the services they need and deserve.

**10.Board Response:** The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

**Summary:** The Board received a comment expressing support and noted that it will expand the licensee's career and the desire to serve people in need to the fullest extent possible.

**11.Board Response:** The Board decided not to change the proposed regulatory text to accommodate this comment because no objection or recommendation was provided.

**Summary:** The Board received a comment expressing concerns with the requirement for a supervising SLP to have at least two years of full-time experience or 3,120 hours providing services as a licensed or credentialed SLP and the Board should revisit the regulatory requirement for a supervising SLP to have a minimum of two years of experience before being eligible to supervise an SLPA. The comment noted that:

- This language would exclude experience that providers obtain during the RPE and would result in individuals needing to have a minimum of three years of experience.
- This requirement does not align with requirements of the American Speech Language Hearing Association (ASHA) which requires the completion of a minimum of nine months of experience after an individual has received ASHA certification and the completion of the nine-month Clinical Fellowship.
- Speech Language Pathologists with less than three years' experience, who have met the ASHA certification requirements are successfully supervising SLPAs.
- The imposition of a three-year minimum experience requirement will likely result in fewer SLPAs securing necessary supervision.

The comment recommended that:

• The language should be clarified to recognize that the experience gained during RPE will count towards the minimum experience requirement for an SLP to supervise an SLPA.

- The Board explain how the 3,120 hours providing services is calculated.
- The language allows an SLP who is already supervising SLPAs to continue their supervisory role even if the SLP has not gained the three years or 3,120 hours of experience which will "grandparent" SLPs who are successfully supervising SLPAs and allow them to continue.

The comment expressed support for the allowance of tele-supervision of SLPAs because of the continued need for services and supervision to be done virtually, and noted that Providing tele-supervision of SLPAs as well as Clinical Fellows (Required Professional Experience or RPEs) has been extremely beneficial to addressing consumer needs and helping to address the acute staffing shortage of SLPs in California. The comment further noted that tele-supervision is a viable and effective option to serve consumer needs throughout the state and supports the efforts of the Board to create long-term solutions to tele-supervision of SLPAs and RPEs.

**12.Board Response:** The Board decided not to change the proposed regulatory text to accommodate this comment because the comment received was not related to the modifications made to the proposed regulations that was noticed to the public on January 12, 2024, pursuant to Government Code section 11346.8(c).

# Action Requested

Staff recommends the Board review and discuss the provided materials. The Board may wish to determine whether or not to approve the Board's responses to comments as currently drafted or propose changes to the Board's responses, and direct Board Staff to prepare the necessary documents to complete the rulemaking process.

# **Suggested Motion**

Move to ratify the modifications to the regulatory text published during the 15-day comment period between January 19 – 24, 2004, approve the proposed Board responses to comments, and direct staff to take all steps necessary to complete the rulemaking process, including the filing of the final rulemaking package with the Office of Administrative Law, authorize the Executive Officer to make any non-substantive changes to the proposed regulations before completing the rulemaking process, and adopt the proposed regulations at Title 16, CCR Sections 1399.170, 1399.170.2, and 1399.170.15 through 1399.170.18, as noticed.

Attachment A: Public Comments Attachment B: Order of Adoption

Comment 1	
From:	nicole nystrom
Sent:	Friday, January 12, 2024 10:57 AM
То:	SpeechandHearingRegulations@DCA
Subject:	Re: Public Notice of Regulatory Action - Proposed Fourth Modified Text (SLPA Supervision)

Categories:

Green category

Yes. I did submit a comment last year. I just wanted to make sure that there weren't any new comments for the current meeting connected to my email address. Thank you so much for this update, and thank you for checking on this information for me!

Sent from my iPhone

On Jan 12, 2024, at 1:49 PM, SpeechandHearingRegulations@dca.ca.gov wrote:

Our records indicate that we received a comment from you on November 17, 2022 for this rulemaking file. We are required to notify you of any changes to the text pursuant to Government Code section <u>11347.l(b)(3)</u>.

Maria Liranzo Legislation, Regulation and Budget Analyst Speech-Language Pathology&:: Audiology&:: Hearing Aid Dispensers Board 1601 Response Road, Suite 260 | Sacramento, CA 95815 Main: (916) 287-7915 Web: www.speechandhearing.ca.gov <a href="https://www.speechandhearing.ca.gov">www.speechandhearing.ca.gov</a>

Sign up to receive updates and notifications on legislative and regulatory changes at <a href="https://w.vw.speechandhearing.ca.gov/webapplications/subscribe/index.shtml">https://w.vw.speechandhearing.ca.gov/webapplications/subscribe/index.shtml</a>

#### From: nicole nystrom ' Sent: Friday, January 12, 2024 10:28 AM i

**To:** SpeechandHearingRegulations@DCA <SpeechandHearingRegulations@dca.ca.gov> **Subject:** Re: Public Notice of Regulatory Action - Proposed Fourth Modified Text (SLPA Supervision)

I did not make a comment for this meeting. There must be a mistake. Should I look into this to see if my email was hacked? What was the comment? Thank you, Nicole Shields

From: Sent: To: Subject: Megan Mccann Friday, January 12, 2024 10:46 AM SpeechandHearingRegulations@DCA Re: Public Notice of Regulatory Action - Proposed Fourth Modified Text (SLPA Supervision)

The only comment I would like to make involves the employability of SLPAs and CF-RPEs the future. I do fear that SLPAs will be unable to fill positions that come available as there are many current supervisors who will no longer be able to take on new SLPAs if their current SLPA leaves. Also concerning is that going forward, new SLPs will be unable to start supervising for 3 years post graduation (CF-RPE, wait time for license, and 2 more years post that which will equate to at least 3 years). For example, in my private clinic, we take on new SLPs, they do their CF-RPE with us, they work another year or so, and then leave the field for a few years to stay home with babies. This does make taking on a CF-RPE less appealing for me in the future. In the end, these changes could result in a decrease of desirability to hire CF-RPEs and a decreased ability to hire SLPAs in the private practice setting.

Just a thought from the private practice side of things...

Megan McCann, M.A., CCC-SLP License: SP 10182

Get Outlook for iOS

# Comment 3

From: Sent: To: Subject: Rebecca Bustillo Friday, January 12, 2024 11:OS AM SpeechandHearingRegulations@DCA Proposed Fourth Modified Text (SLPA Supervision)

l agree.

From: Sent: To: Subject:

GS Friday, January 12, 2024 11:29 AM SpeechandHearingRegulations@DCA Proposed Fourth Modified Text (SLPA Supervision)

As a member of the speech-language pathology community, I am writing to express my support for the proposed regulations regarding SLPA supervision. This change promises to significantly enhance our profession, elevating the respect and recognition we receive.

The proposed regulations offer a transformative opportunity for Speech-Language Pathologists (SLPs). They enable a single SLP to establish and grow a successful practice with the assistance of up to three full time Speech-Language Pathology Assistants (SLPAs) or 6 part time SLPAs. This shift aligns our profession more closely with others, such as dentistry, where practitioners often own and manage their thriving practices.

Embracing these regulations means embracing progress. It opens doors for SLPs and SLPAs alike, creating more income opportunities and allowing for a broader reach to clients in need.

As we advocate for these changes, we're not just advocating for our profession; we're advocating for the many individuals who will benefit from expanded and improved speech-language services. Thank you! Sincerely, Dr. Givona Sandiford PhD, CCC-SLP, SHRM-CP

#### Comment 5

From: Sent: To: Subject: Deborah Arroyo Sunday, January 14, 2024 8:53 AM SpeechandHearingRegulations@DCA 4th modified text for SLPA supervision

Dear Maria Liranzo,

This email is to share my support of the proposed SLPA supervision regulations. As a small SLP practice, this will allow us to supervise SLPAs in rural areas where services are greatly needed and where SLPAs live, but can't practice due to lack of supervisors in their area.

Thank you,

-Deborah Arroyo Sent from my iPhone

From:Laura HanafordSent:Monday, January 15, 2024 11:21 AMTo:SpeechandHearingRegulations@DCASubject:Proposed Fourth Modified Text (SLPA Supervision)

Comment regarding the requirement for: supervisors to maintain a current, active and unrestricted California license

I recognize that the majority of public school SLPs have a license in addition to a Speech Language Pathology Services credential, but it is not required for employment as a public school SLPs to have the license. The regulation should include those with current, clear credentials from the CTC. This would be the Speech Language Pathology Services Credential OR the Clinical and Rehabilitative Services Credential in Speech Language and Hearing.

see <u>https://www.etc.ca.gov/credentials/leaflets/speech-language-pathology-credentia1-(cl-879)</u> and <u>https://www.ctc.ca.gov/credentials/leaflets/Clinical-or-Rehabilitative-Credentials-(CL-610)</u>

language should be supervisors to maintain a current, active and unrestricted California license Or current clear credential

Laura Hanaford San Clemente, CA From: Sent: To: Subject: Kelly Arellano Saturday, January 20, 2024 7:34 PM SpeechandHearingRegulations@DCA Public Comment: Proposed Changes to SLPA Supervision

Hello,

I am a speech language pathologist in Los Angeles, California. I currently supervise two wonderful SLPAs in my practice and I pride myself on the quality of supervision that we provide in our clinic. I have read through the proposed changes to the sections of *Title 16 of the California Code of Regulations* that would result in updates to SLPA supervision, and have some comments I would like to provide to the board. The following comments are in response to proposed language on *Section 4* of the *Responsibility Statement for SLP Supervisors of SLPAs* (I also provide proposed solutions at the end):

#### Comments: Concerns with Proposed 20% Direct Supervision of new SLPAS

#### Citation Source for Commentary: <u>Fourth Modified Text of Regulations, Responsibility Statement for</u> <u>Supervisors of a Speech-Language Pathology Assistant {DCA-SLPAHADB-SPA-110 Rev. 01/2024)</u>

"4) I will provide direct supervision that consists of on-site observation and guidance at least twenty {20) percent per week of the assistant's work schedule for the first ninety {90) days following initial licensure. I will maintain a record in the assistant's personnel file that verifies that the speech-language pathology assistant met this requirement."

- Concerns: I am concerned about the chosen variable of <u>20% direct supervision per week</u> for SLPAs for the first 90 days of initial licensure, as this metric will likely lead to less individuals getting speech pathology services in the long run given the amount of support an SLP must provide to these new SLPAs under this particular metric.
  - o Issue #1: 20% direct supervision looks different for a part-time SLPA vs. a full-time SLPA, with the requirements being much higher for a full time SLPA. This is an issue because many SLPs, organizations, and school districts will not want to hire and support new SLPAs in full time roles, as the supervision requirements are much higher for them. For example, a part time SLPA who works 10 hours/week would only require 2 hours/week of direct supervision during these first 90 days, but a full time SLPA who works 30-40 hours/week would require 6-8 hours/week of direct supervision. Taking an SLP away from assessments or treatment for 6-8 hours/week will result in organizations losing valuable productivity and billable hours, so they will opt to hire less SLPAs who are newly licensed into full-time positions. This causes a burden for new SLPAs, as it will be harder to find a full-time position, and part-time positions will not allow them to pay their bills or get valuable benefits such as health insurance. The purpose of the increased supervision is to ensure that SLPAs are trained and consumers are getting valuable treatment, but 20% direct supervision is not a metric that will lead to this (in fact, it feels arbitrary and reductive).
  - Issue #2: 20% direct supervision requirements of newly licensed SLPAs will cause added workload to SLPs, and less consumers will be able to participate in actual speech therapy services and assessments as a result.
    - SLPAs are employed as a solution to support workload challenges for busy SLPs, especially in settings that have large caseloads, such as schools. The requirement of 20% direct SLPA supervision/week would cause additional challenges to SLPs in schools, who have caseloads of at least 55 students whom they are already expected to treat in groups, assess 1:1, schedule/run/attend IEP meetings, write treatment notes and assessment reports, and write/maintain IEP documents. There simply would not be time for 6-8 hours of direct supervision for a new SLPA in a school setting. This supervision requirement would lead to students missing out on therapy minutes and IEP timelines being missed. School districts likely would opt to not hire SLPAs at all, as they would add to the workload issues rather than support SLPs and students.
    - Similarly, SLPs working in private practices and outpatient medical settings typically complete assessments or treat complex cases while SLPAs conduct treatment for less-involved consumers. The requirement of 20% direct supervision in this setting would reduce the number of evaluations and treatment sessions that an SLP could complete, which therefore would reduce the number of individuals who could access treatment. For example, 20% direct supervision of a new full-time SLPA would result in 6-8 hours/week of time that the SLP could not treat their own cases or complete any assessments; that's an entire day of work and billable hours lost to supervision! These time constraints impact programs fiscally, because programs cannot bill for services rendered if consumers are not treated, and 6-8 billable hours a week will be lost to supervision hours that otherwise could have been filled with evaluations and treatment done by the SLP. I foresee private practices and outpatient medical programs no longer hiring new SLPAs because they cannot afford to spend 6-8 hours/week directly supervising them (they would have to take a loss on the SLP salaries for those hours, and programs have limited bottom-lines that cannot afford this type of sacrifice).

- Finally, I predict that some supervisors would be pressured to lie and indicate that the SLPA completed the 20% direct supervision during their first 90 days on the proposed form, which would result in the same situation we are currently in: lack of true supervision and oversight.
- o There is a state-wide shortage of SLPs and SLPAs. At this time, the proposed metric of 20% direct supervision for new SLPAs will cause issues for new SLPAs, SLPs, and consumers and not solve the problem it intends to solve. The desired outcome of providing 20% direct supervision to new SLPAs is to ensure consumers participate in highly-skilled, effective speech language pathology treatment. There are other ways in which we can ensure this happens.
  - Proposed Solutions:
    - I propose that the metric change from "20% direct supervision to SLPAs in the first 90 days of licensure" to the following:
      - "SLP supervisors will be available onsite to directly supervise SLPAs <u>based on clinical</u> <u>iudgement of</u> <u>consumer need</u> in the first 90 days of licensure," and,
      - "SLPs will hold mandatory weekly 1-hour meetings with SLPAs throughout the duration of the supervisory relationship, regardless of if the SLPA is part-time or full- time."
        - o "Prior to each weekly meeting, the supervising SLP will review the SLPA's treatment notes."
        - "During these weekly meetings, the supervising SLP will discuss the SLPAs cases and make treatment recommendations with the SLPA. If needed, the SLP will make a plan with the SLPA to participate in direct observation during treatment sessions based on the needs of the consumers."
        - o "The SLP will maintain a written record of meeting notes for what is discussed and recommended at each weekly supervisory meeting."
          - \*The board may choose to audit the supervising SLP's supervision notes at any time, similar to how they can audit SLP's proof of completed CEUs, to ensure the meetings are actually happening.
    - These proposed solutions are SMART; Specific, Measurable, Achievable, Relevant, and Time-Bound. They will allow SLPAs to receive adequate supervision, and ensure that the supervising SLP is actively involved in the executed treatment plan of each consumer. These solutions reduce the time constraints that would arise from the 20% direct supervision metric, and therefore encourage organizations to continue to hire new SLPA grads, as well as result in more consumers being able to participate in SLP assessments and treatment.

Thank you for taking the time to consider my concerns and proposed solutions. I have had success in my own private practice with these exact solutions. I believe that these solutions are doable in any setting, and I'd be happy to further collaborate on this if you'd like additional discussion. I am concerned that the 20% metric is random and will cause many more problems than solutions. I hope you consider my proposed changes as functional and meaningful, I already have had success implementing them and would be happy to provide training to SLPs or consultation to the SLPAHAD Board on this topic moving forward.

Best,

Kelly Arellano, M.S., CCC-SLP Bilingual Speech Language Pathologist CSHA District 7 Director - 2021-2025

From: Sent: To: Subject: Julie Elise Monday, January 22, 2024 6:13 AM SpeechandHearingRegulations@DCA Proposed Fourth Modified Text (SLPA Supervision)

The proposed changes to supervision seem fitting as we should have 2 or more years of full time (versus part time) experience before supervising and assisting a SLPA. I am also in agreement of the purposed actions

Thank you, Julie Nagel

#### Comment 9

From: Sent: To: Subject: Gila Cohen-Shaw Saturday, January 27, 2024 8:39 AM SpeechandHearingRegulations@DCA Telesupervision of SLPAs

As an SLP who has [provided supervision both in personal and teletherapy, I implore you, for the sake of children needing services, to allow for telesupervision of SLPAs.

Thank you.

Make it a great day!

Gila Cohen-Shaw (she/her) Gila Cohen-Shaw, MA, CCC-SLP P.C.

From: Sent: To: Subject: Deepthy Nair Monday, January 29, 2024 2:35 AM SpeechandHearingRegulations@DCA Mandatory Public Comment

Here are some comments/suggestions on modifications made on the proposed regulations.

I agree with increasing supervisors and support. Having that can indeed increase the amount of care provided to families. This will also help SLPs focus on more detailed diagnostics and treatments whereas the SLPAs can focus on the less advanced areas. I also agree with using gender-neutral terms when addressing individuals. However, when dealing with pediatric clients, I would suggest enquiring with the parents to understand where they stand and what gender term they are more comfortable with where their child is concerned.

As for the "Speech-Language Pathology Assistant Supervision Requirements", I agree with the inclusion of other areas of practice as mentioned in§ 1399.170. Definitions (c) Client.

I was not able to comment on previous proposed regulations, however, I wanted to comment on them as well. I have commented on the recent modifications as well.

Regards,

Deepthy Nair

### Comment 11

From:	
Sent:	
To:	
Subject:	

Diana Heldfond Monday, January 29, 2024 5:28 AM SpeechandHearingRegulations@DCA CA SLPA Supervision Regulation

Hello there,

I am writing in support of the proposed modifications to the proposed regulatory text in 16 CCR sections 1399.170, 1399.170.2, 1399.170.15, 1399.170.16, 1399.170.17, and 1399.170.18, related to Speech-Language Pathology Assistant Supervision (SLPA) Requirements.

Best, Diana Heldfond

> Diana Heldfond {She/Her) Founder & Chief Executive Officer

Comment 12 From: Sent: To: Subject:

David Taggart Monday, January 29, 2024 11:01 AM SpeechandHearingRegulations@DCA SLPA tele supervision

Lets increase access to services up and down the state of california. We are asked all the time if we can help cover SLP vacancies... many of those positions have some SLPA supervision... this change to the language would help more schools rest easy knowing their students are getting all the services they need and deserve.

David Taggart Parallel Learning

SLP and School Psychology Assessments and Services

## Comment 13

From: Sent: To: Subject: Shauna Beyer Monday, January 29, 2024 12:23 PM SpeechandHearingRegulations@DCA Support for telesupervision of SLPAs

Hello there,I am writing in support of the proposed modifications to the proposed regulatory text in 16 CCR sections 1399.170, 1399.170.2, 1399.170.15, 1399.170.16, 1399.170.17, and 1399.170.18, related to Speech-Language Pathology Assistant Supervision (SLPA) Requirements.

Thank you, Shauna Beyer

> Shauna Beyer, M.S. CCC-SLP (She/Her/Hers) Director of Speech Language Pathology Services

From: Sent: To: Subject: Tiffany Williams Monday, January 29, 2024 12:41 PM SpeechandHearingRegulations@DCA CA SLPA Supervision Regulation

Hello there,I am writing in support of the proposed modifications to the proposed regulatory text in 16 CCR sections 1399.170, 1399.170.2, 1399.170.15, 1399.170.16, 1399.170.17, and 1399.170.18, related to Speech-Language Pathology Assistant Supervision (SLPA) Requirements. Thank you.

Dr. Tiffany P. Williams, PhD, CCC-SLP (She/Her/Hers) SLP Regional Manager. Northeast B, Southeast

### Comment 15

From: Sent: To: Subject: Michelle Morimoto Monday, January 29, 2024 12:50 PM SpeechandHearingRegulations@DCA Speech and Hearing Regulations

Dear CA Board Members,

I am writing in support of the recommended modifications to the proposed regulatory text in 16 CCR sections 1399.170, 1399.170.2, 1399.170.15, 1399.170.16, 1399.170.17, and 1399.170.18, related to the Speech-Language Pathology Assistant Supervision requirements.

I plan to expand my career and want to serve people in need to the fullest extent possible. Thank you.

Sincerely, Michelle Morimoto, CCC-SLP

From: Sent: To: Subject: Kristen Buchanan Monday, January 29, 2024 1:00 PM SpeechandHearingRegulations@DCA SLP Supervision

Hello there,

I am writing in support of the proposed modifications to the proposed regulatory text in 16 CCR sections 1399.170, 1399.170.2, 1399.170.15, 1399.170.16, 1399.170.17, and 1399.170.18, related to Speech-Language Pathology Assistant Supervision (SLPA) Requirements.--

Thank you!!

Kristen Buchanan, MS, CCC-SLP

## Comment 17

From: Sent: To: Subject: Tamara Taylor Monday, January 29, 2024 1:07 PM SpeechandHearingRegulations@DCA I support SLPAs!

Hello there,

I am writing in support of the proposed modifications to the proposed regulatory text in 16 CCR sections 1399.170, 1399.170.2, 1399.170.15, 1399.170.16, 1399.170.17, and 1399.170.18, related to Speech-Language Pathology Assistant Supervision (SLPA) Requirements.--

Thank you!!

From:	Andrea Ball
Sent:	Monday, January 29, 2024 4:23 PM
To:	Speechand HearingRegulations@DCA
Cc:	Sanchez, Paul@DCA; Burns, Cherise@DCA; Laura Wasco
Subject:	RE: CSHA Comment Ltr on 4th Modified Text - Speech Language Pathology Asst
Attachments:	Supervision Req
Attachments.	CSHAcomments.SLPAsupRegs4thModText.01.29.24.pdf

Good Afternoon,

Please see attached comment letter from the California Speech Language Hearing Association (CSHA) on Proposed Fourth Modified Text on Speech-Language Pathology Assistant Supervision requirements.

Thank you for your consideration.

Best, Andrea

Andrea Ball President Ball/Frost Group LLC



January 29, 2024

Maria Liranzo Legislation, Regulation and Budget Analyst and Audiology and Hearing Aid Dispensers Board 1601 Response Road, Suite 260 Sacramento, California 95815

submitted via e-mail

*RE: Proposed Fourth Modified Text* Speech Language Pathology Assistant (SLPA) Supervision Requirements

Dear Ms. Liranzo:

The California Speech Language Hearing Association (CSHA) appreciates the Speech-Language Pathology and Audiology and Heoring Aid Dispensers Board's (Boord) continued attention to the issue of long-term options allowing telesupervision for those professionals working under a Speech Language Pathology Assistant license. We write to share comments and recommendations on the proposed modifications to the SLPA Supervision regulations contained in the fourth modified text and to request the Board consider re-visiting the regulatory requirement for a supervising Speech Language Pathologist to have a minimum of two years of experience before being eligible .to supervise an SLPA.

CSHA represents approximately 3,000 Speech Language Pathologists (SLPs), Speech Language Pathology Assistants (SLPAs), Audiologists, students and other related professionals working in education and health care settings in California. CSHA members provide critical evidence-based services and supports to students with exceptional needs, including those from diverse populations in California's public and private school settings. In the health care setting, CSHA members provide medically necessary services that result in positive health outcomes and an enhanced quality of life.

The COVID-19 pandemic dramatically shifted the landscape of services in schools, medical and other settings. While telehealth and supervision via videoconferencing had been employed in some areas, with the impact of the pandemic the professions shifted from being in-person to using electronic platforms overnight. Providing tele-supervision of SLPAs as well as Clinical Fellows (Required Professional Experience or RPEs) has been extremely beneficial to addressing consumer needs and helping to address the acute staffing shortage of SLPs in California.

Letter to SLPAHAD Board - SLPA Supervision Regulations January 29, 2024 Page 2

As noted in the **Initial Statement of Reasons** for the proposed regulations, the current supervision requirements are inadequate to meet the needs of the public receiving services through electronic means or in settings such as schools, medical or community-based facilities, and private practices.

CSHA has provided comments on the regulatory proposals related to supervision of SLPAs and RPEs to allow and clarify the requirements for tele-supervision in light of the on-going need for services as well as supervision to be done virtually. CSHA supports the allowance of tele-supervision of Speech Language Pathology Assistants because of the continued need for services and supervision to be done virtually.

# CSHA has reviewed the Proposed Fourth Modified Text and provides the following comments on language in Section 1399.170. 15 (b)(1):

CSHA is concerned about the requirement for a supervising Speech Language Pathologist to have at least two years of full-time experience or 3,120 hours providing services as a licensed or credentialed Speech Language Pathologist for the following reasons:

- As CSHA noted in comments on prior visions of the SLPA supervision regulations, this language would exclude experience that providers obtain during the RPE and would result in individuals needing to have a minimum of three years of experience.
- This requirement does not align with requirements of the American Speech Language Hearing Association (ASHA). ASHA requires completion of a minimum of nine months of experience after an individual has received ASHA certification which requires completion of the nine-month Clinical Fellowship followed by nine months of experience. ASHA certification is well-recognized nationally.
- Speech Language Pathologists with less than three years' experience, who have met the ASHA certification requirements are successfully supervising SLPAs.
- The imposition of a three year minimum experience requirement will likely result in fewer SLPAs securing necessary supervision.

### CSHA recommends the Board:

- 1. Clarify language to recognize that the experience gained during RPE will count towards the minimum experience requirement for an SLP to supervise an SLPA.
- 2. Explain how the 3,120 hours providing services is calculated.
- 3. Add language to allow an SLP who is already supervising SLPAs to continue their supervisory role even if the SLP has not gained the three years or 3,120 hours of experience. This is a "grandparenting" provision so that SLPs who are

Letter to SLPAHAD Board - SLPA Supervision Regulations January 29, 2024 Page 3

successfully supervising SLPAs can continue and the SLPAs under their supervision complete the necessary experience.

Tele--supervision is a viable and effective option to serve consumer needs throughout the state. CSHA supports the efforts of the Board to create long-term solutions to tele-supervision of SLPAs and RPEs.

Thank you for your consideration. Please contact me at \_\_\_\_\_\_ or CSHA Legislative Advocates Andrea Ball and Laura Wasco at \_\_\_\_\_\_ and \_\_\_\_\_\_ if you have any questions and would like additional information.

Sincerely,

Robert McKinney, M.A., CCC-SLP Chair-Elect and Advocacy Chair California Speech Language Hearing Association

Copy: Paul Sanchez, Executive Director, Speech Language Pathology Audiology and Hearing Aid Dispenser Board

#### DEPARTMENT OF CONSUMER AFFAIRS TITLE 16. SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY AND HEARING AID DISPENSERS BOARD

# Speech-Language Pathology Assistant Supervision Requirements

# ORDER OF ADOPTION

# Amend section 1399.170 of Division 13.4 of Title 16 of the California Code of Regulations to read as follows:

## § 1399.170. Definitions

As used in this article:

(a) "Accountability" means being legally responsible and answerable for actions and inactions of self or others during the performance of a task by the speech-language pathology assistant.

(b) "Client" shall have the same meaning and effect as the term "patient" and "student," when referring to services provided in a school<u>or other</u> setting, for purposes of interpreting the provisions in this Article.

(c) "Immediate supervision" means the supervising speech-language pathologist is physically present during services provided to the client by the speech-language pathology assistant.

(c)(d) "Direct supervision" means on-site observation and guidance or synchronous audiovisual observation and guidance by the supervising speech-language pathologist while a clinical activity is performed by the speech-language pathology assistant. Direct supervision performed by the supervising speech-language pathologist may include, but is not limited to, the following: observation of a portion of the screening or treatment procedures performed by the speech-language pathology assistant, coaching the speech-language pathology assistant, and modeling for the assistant.

(d) "Immediate supervision" means the supervising speech-language pathologist is physically present during services provided to the client by the speech-language pathology assistant.

(e) "Indirect supervision" means the supervising speech-language pathologist is not at the same facility or in close proximity to the speech-language pathology assistant, but is available to provide supervision by <u>asynchronous</u> electronic means. Indirect supervision activities performed by the supervising speech-language pathologist may include, but are not limited to, demonstration, record review, review and evaluation of audio or

videotapedvideo-taped sessions, interactive television, and supervisory conferences that may be conducted by telephone or electronic mail.

(f) "Medically fragile" is the term used to describe a client that is acutely ill and in an unstable condition and if treated by a speech-language pathology assistant, immediate supervision by a speech-language pathologist is required.

(g) "Screening" is a pass-fail procedure to identify, without interpretation, clients who may require further assessment following specified screening protocols developed by the supervising speech-language pathologist.

(h) "Supervision" for the purposes of this article, means the provision of direction and evaluation of the tasks assigned to a speech-language pathology assistant. Methods for providing supervision include direct supervision, immediate supervision, direct supervision, and indirect supervision.

(i) "Support personnel" means individuals who, following academic and/or on-the-job training, perform tasks as prescribed, directed and supervised by a speech-language pathologist. There are different levels of support personnel based on training and scope of responsibilities.

#### (i) "Full-time equivalent" means at least thirty (30) hours per week.

#### (k) "Assistant" means a speech-language pathology assistant.

Note: Authority cited: Sections 2531.95 and 2538.1<del>(a)</del>, Business and Professions Code. Reference: Section 2538.1<del>(b)</del>, Business and Professions Code.

# Amend section 1399.170.2 of Division 13.4 of Title 16 of the California Code of Regulations to read as follows:

### § 1399.170.2. Types of Supervision Required for Duties Performed by a Speech-Language Pathology Assistant.

(a) Duties performed by the speech-language pathology assistant that require immediate supervision may include, but are not limited to, any direct client activity involving medically fragile patients. In such instances, the speech-language pathology assistant shall act only under the direction of the supervisor.

(b) Duties performed by the speech-language pathology assistant that require direct supervision may include, but are not limited to, any new screening or treatment activity that the assistant has been trained to perform by the supervisor, but has not yet been performed by the speech-language pathology assistant in direct client care.

(c) Duties performed by the speech-language pathology assistant that require indirect supervision may include, but are not limited to, the following:

(1) Screening or treatment activities where the supervisor has previously given instructions as to how to perform the task, has observed the assistant in the conduct of these activities, and is satisfied that the activities can be competently performed by the speech-language pathology assistant, i.e., repetitive drill exercises, generalization or carryover activities;

(2) Clerical tasks such as record keeping, materials preparation, scheduling, equipment maintenance; and,

(3) Other non-client care activities.

(d) Notwithstanding subdivisions (a), (b), and (c), the supervisor shall provide supervision to the speech-language pathology assistant following their initial licensure in accordance with Section 1399.170.15(b)(4) of the California Code of Regulations.

Note: Authority cited: Sections 2531.95 and 2538.1<del>(a)</del>, Business and Professions Code. Reference: Section 2538.1<del>(b)(7)</del>, Business and Professions Code.

Amend section 1399.170.15 of Division 13.4 of Title 16 of the California Code of Regulations to read as follows:

# § 1399.170.15. Requirements for the Supervision of the Speech-Language Pathology Assistant.

(a) The supervising speech-language pathologist <u>(hereinafter called "supervisor")</u> is responsible for designing and implementing a supervisory plan that protects client care and maintains the highest possible standards of quality. The amount and type of supervision required should be consistent with the skills and experience of the speech-language pathology assistant, the needs of the clients, the service setting, the tasks assigned, and the laws and regulations that govern speech-language pathology assistants. Treatment of the client remains the responsibility of the supervisor.

(b) Any person-supervising <u>registering</u> a speech-language pathology assistant registered with the Board-on or after April 10, 2001, (hereinafter called "supervisor") shall submit, within thirty (30) days of the commencement of such supervision, the "Responsibility Statement for Supervision of a Speech-Language Pathology Assistant" (77S-60, New 12/99), which requires that: meet all of the following requirements:

(1) The supervisor shall pPossess and maintain a current, active, and <u>unrestricted-valid</u> California license as a speech-language pathologist as required in Section 2532 of the Code and Section 1399.160.3 of California Code of Regulations or may hold a valid and current, active, and unrestricted professional clear, clear, or life clinical or rehabilitative services credential in language, speech and hearing, or speech-language pathology services credential issued by the California Commission on Teacher Credentialing-, and have at least two

years of full-time experience or 3,120 hours providing services as a licensed or credentialed speech-language pathologist. "Full-time experience" as used in this section means the individual works a minimum of thirty (30) hours per week for at least thirty-six (36) weeks in a calendar year.

(2) The supervisor shall ilmmediately notify the assistant of any disciplinary action, including revocation, suspension (even if stayed), probation terms, inactive license, or lapse in licensure, which affects the supervisor's ability or right to supervise.

(3) The supervisor shall e<u>E</u>nsure that the extent, kind, and quality of the clinical work performed is consistent with the training and experience of the person being supervised, and be accountable for the assigned tasks performed by the speech-language pathology assistant. The supervisor shall review client/patient records, monitor and evaluate assessment and treatment decisions of the speech-language pathology assistant, and monitor and evaluate the ability of the assistant to provide services to the particular clientele being treated at the site(s) where-he or she will be practicing setting where the service is being provided and to the particular clientele being treated, and ensure compliance with all laws and regulations governing the practice of speech-language pathology.

(4) Provide direct supervision that consists of on-site observation and guidance at least twenty (20) percent per week of the speech-language pathology assistant's work schedule for the first ninety (90) days following initial licensure. The supervisor shall maintain a record in the speech-language pathology assistant's personnel file that verifies that the speech-language pathology assistant meets the requirements in this subdivision.

(4<u>5</u>) The supervisor shall c<u>C</u>omplete not less than six (6) hours of continuing professional development in supervision training in the initial two year period from prior to assuming responsibility as a supervisor the commencement of supervision, and three (3) hours in supervision training of continuing professional development every twofour (4) years thereafter. Continuing professional development training obtained by a Board-approved provider that meets the course content listed below, may be applied towards the continuing professional development requirement for licensees set forth in Section 1399.160.3 of the California Code of Regulations. The content of such training shall include, but is not limited to:

(A) Familiarity with supervision literature through reading assignments specified by course instructors; <del>and</del>

(B) Improving knowledge and understanding of the relationship between the speech-language pathologist and the assistant, and the relationship between the speech-language pathologist and the client- $\frac{1}{2}$ 

(C) Structuring to maximize supervision, including times and conditions of supervision sessions, problem solving ability, and implementing supervisor interventions within a range of supervisory modalities including live, videotape, audiotape, and case report methods;

(D) Knowledge of contextual variables such as culture, gender, ethnicity, and economic issues; and

(E) The practice of clinical speech-language pathology including the mandated reporting laws and knowledge of ethical and legal issues.

(56) The supervisor shall mMaintain records of course completion in supervision training for a period of two years from the speech-language pathology assistant's renewal date.

(6<u>7</u>) The supervisor knows and understands <u>Review with the speech-language</u> <u>pathology assistant</u> the laws and regulations pertaining to supervision <u>and</u> <u>practice</u> of speech-language pathology assistants.

(78) As the professional development advisor, the supervisor shall assist in the dDevelopment of a plan for the speech-language pathology assistant to complete twelve (12) hours of continuing professional development every two years through state or regional conferences, workshops, formal in-service presentations, independent study programs, or any combination of these concerning communication disorders.

(8<u>9</u>) The supervisor shall communicate to the speech-language pathology assistant the manner in which emergencies will be handled.Provide the speech-language pathology assistant with a plan for how to handle emergencies.

(10) Assume responsibility for all services provided to clients by the speechlanguage pathology assistant that is being supervised.

(9<u>c</u>) Upon written request of the Board, t<u>T</u>he supervisor shall provide to the Board with<u>in thirty (30) business days from the start date of supervision</u> any documentation thea signed "Responsibility Statement for Supervisors of a Speech-Language Pathology Assistant" (DCA-SLPAHADB-SPA-110, Rev. 01/2024), which is hereby incorporated by reference as if set forth in full herein. This form verifies the supervisor's compliance with the requirements set forth in this article. The supervisor shall provide a copy of the completed form to the assistant within forty-five (45) business days from the commencement date of supervision.

Note: Authority cited: Sections 2531.95 and 2538.1<del>(a)</del>, Business and Professions Code. Reference: Sections 2530.2<del>(f),</del> and 2538.1<del>(b)(5), (6), (7) and (9)</del>, Business and Professions Code.

# Amend section 1399.170.16 of Division 13.4 of Title 16 of the California Code of Regulations to read as follows:

# § 1399.170.16. Maximum Number of Support Personnel.

A supervisor shall not supervise more than three (3) <u>full-time equivalent</u> support personnel, <u>and shall</u> not <u>exceed more than (6) support personnel at any time</u>. <del>more than</del> two of which hold the title of speech-language pathology assistant</del>. Support personnel includes speech-language pathology assistants and speech-language pathology aides.

Note: Authority cited: Sections 2531.95 and 2538.1<del>(a)</del>, Business and Professions Code. Reference: Section 2538.1<del>(b)(8)</del>, Business and Professions Code.

# Amend section 1399.170.17 of Division 13.4 of Title 16 of the California Code of Regulations to read as follows:

# § 1399.170.17. Multiple Supervision.

If a speech-language pathology assistant has more than one supervisor, each supervisor shall submit a <u>Supervisor</u><u>"</u>Responsibility Statement<u>for Supervisors of a signed Speech-Language Pathology Assistant" (DCA-SLPAHADB-SPA-110, Rev. 01/2024)</u>. Of the multiple supervisors, one shall be designated as the lead supervisor for purposes of assisting the speech-language pathology assistant in <u>his or her their</u> compliance with the continuing professional development requirement.

Note: Authority cited: Sections 2531.95 and 2538.1<del>(a)</del>, Business and Professions Code. Reference: Sections 2530.2<del>(f)</del> and 2538.1<del>(b)(5)-(9)</del>, Business and Professions Code.

# Amend section 1399.170.18 of Division 13.4 of Title 16 of the California Code of Regulations to read as follows:

# § 1399.170.18. Notice of Termination.

At the time of termination of supervision, the supervisor shall <u>notify the Board in writing</u>. complete the "Termination of Supervision" form (77S-61 New 12/99). This original signed form <u>notification</u> shall be submitted to the Board by the supervisor within fourteen (14) days of termination of supervision. <u>The supervisor shall provide a copy of</u> the completed notification to the speech-language pathology assistant within forty-five (45) business days of termination of supervision.

(a) Written notification for the purposes of this section shall include the full legal name and license number of the speech-language pathology assistant; the effective date of the termination; and the supervisor's full legal name, license or credential number, business address, telephone number, and signature.

(b) The notification shall contain a certification under penalty of perjury that all statements made in the Termination of Supervision document are true in every respect

and that misstatements or omissions of material facts may be cause for suspension or revocation of a license.

Note: Authority cited: Sections 2531.95 and 2538.1<del>(a)</del>, Business and Professions Code. Reference: Sections 2530.2<del>(f)</del> and 2538.1<del>(b)(5)-(9)</del>, Business and Professions Code.

#### RESPONSIBILITY STATEMENT FOR SUPERVISORS OF A SPEECH-LANGUAGE PATHOLOGY ASSISTANT

Division 13.4 of Title 16, California Code of Regulations Section 1399.170.15 requires that any qualified speech-language pathologist who assumes responsibility for providing supervision to a registered speech-language pathology assistant to complete and sign under penalty of perjury, the following statement.

Speech-Language Pathology Assistant's Name	SPA Number

Supervisor's Name

License or SSN Number

As the supervisor:

1) I possess the following qualifications to supervise a speech-language pathology assistant:

A California license issued by the Speech-Language		
Pathology and Audiology Board	- ,	
- <del>OR</del> -	License #	Issue Date
A valid and current Professional Clear, Clear, or Life Clinical	or Rehabilitative Services	
Credential in language, speech, and hearing issued by		
the California Commission on Teacher Credentialing	,	
(please attach a copy of the credential-front and back)		Issue Date

- 2) I will immediately notify the assistant of any disciplinary action, including revocation, suspension, even if stayed, probation terms, inactive license, or lapse in licensure, that effects my ability or right to supervise.
- 3) I will complete not less than six (6) hours of continuing professional development in supervision training in the initial two year period from the commencement of supervision, and three (3) hours in supervision training every two years thereafter pursuant to Section 1399.170.15(b)(4) of the California Code of Regulations.
- 4) I will maintain records of course completion for a period of two years from the speech-language pathology assistant's registration renewal date.
- 5) I know and understand the laws and regulations pertaining to the supervision of assistants and the experience required for registration as a speech language pathology assistant.
- 6) I will ensure that the extent, kind, and quality of the clinical work performed is consistent with the training and experience of the speech-language pathology assistant and shall be accountable for the assigned tasks performed by the speech-language pathology assistant.
- 7) I will review client/patient records, monitor and evaluate assessment and treatment decisions of the speech-language pathology assistant, monitor and evaluate the ability of the assistant to provide services at the site(s) where he or she will be practicing and to the particular clientele being treated, and ensure compliance with all laws and regulations governing the practice of speech-language pathology.
- 8) I will assist with the development of a plan for the speech language pathology assistant to complete twelve (12) hours of continuing professional development every two years, through state or regional conferences, workshops, formal in service presentations, independent study programs, or any combination of these, concerning communication disorders.

- 9) I will address with the speech language pathology assistant the manner in which emergencies will be handled.
- 10) I will provide this board with this original signed form within thirty (30) calendar days of commencement of any supervision. I will provide a copy of this form to the speech language pathology assistant.
- 11) At the time of termination of supervision, I will complete the "Termination of Supervision" form 77ST(new 12/99). I will submit the original signed form to the board within fourteen (14) calendar days of termination of supervision.
- 12) Upon written request of the board, I will provide to the board any documentation which verifies my compliance with the requirements set forth in this statement.
- 13) I will not supervise more than three (3) support personnel, not more than two of which hold the title of speech-languagepathology assistant.

#### **Multiple Supervision Statement**

Are you supervising an assistant who has more than one supervisor?

If yes, please indicate whether you will be the supervisor designated as the lead supervisor for the purposes of assisting the speech-language pathology assistant in his or her compliance with the continuing professional development-requirement pursuant to section 1399.170.17 of the California Code of Regulations.

Tes No

I declare under penalty of perjury under the laws of the State of California that | have read and understand the foregoing and the information submitted on this form is true and correct.

Printed Name of Qualified Supervisor	Signature of Qualified Supervisor	
Date Supervision Commenced		
Mailing Address: Number and Street	City State	Zip Code
Qualified Supervisor's Daytime Telephone Nu	imber: ()	_
Printed Name of Speech-Language	Signature of Speech-Language	
Pathology Assistant	Pathology Assistant	

# SOCIAL SECURITY DISCLOSURE NOTICE

Disclosure of your Social Security Number (SSN) is mandatory. Section 30 of the Business and Professions Code and Public Law 94-455 (42 USCA 405 (c) (2) C)) authorize collection of your SSN. Your SSN will be used exclusively for tax enforcement purposes, for purposes of compliance with any judgment or order for family support in accordance with Section 11350.6 of the Welfare and Institutions Code, or for verification of licensure or examination status by a licensing or examination entity which utilizes a national examination and where licensure is reciprocal with the requesting state. If you fail to disclose your SSN, you will be reported to the Franchise Tax Board, which may assess a \$100 penalty against you.



BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY · GAVIN NEWSOM, GOVERNOR SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY & HEARING AID DISPENSERS BOARD 1601 Response Road, Suite 260, Sacramento, CA 95815 P (916) 287-7915 | www.speechandhearing.ca.gov



## <u>RESPONSIBILITY STATEMENT FOR SUPERVISORS OF A</u> <u>SPEECH-LANGUAGE PATHOLOGY ASSISTANT</u>

**INSTRUCTIONS TO SUPERVISORS:** Complete the following sections, read the statements on page 2 and 3, and sign on page 3. This form must be submitted to the Board within 30 business days from the start date of supervision. Do not use white out on this form if printed and mailed to the Board. If errors are made, cross out erroneous information and initial next to the change.

#### INSTRUCTIONS TO SPEECH-LANGUAGE PATHOLOGY ASSISTANT: Read the statements and sign on page 2.

This completed form must be submitted to the Board as required by Title 16, California Code of Regulations (CCR) section 1399.170.15. The information requested on this form is mandatory and must be submitted to remain in compliance with section 1399.170.15. The information provided will be used to determine compliance with section 1399.170.15.

#### PART A: SPEECH-LANGUAGE PATHOLOGY ASSISTANT INFORMATION

1. FULL LEGAL NAME:	LAST	FIR	ST		MIDDLE
2. SPEECH-LANGUAGE PATH	OLOGY ASSISTAN	T LICENSE NUMBER			
3. STREET ADDRESS:					
<u>CITY, STATE, ZIP CODE:</u>					
4. EMAIL ADDRESS:					
PART B: SUPERVISOR IN					
1. FULL LEGAL NAME OF SUPE	ERVISOR: L	AST	FIRST	MIDDLE	

2. SPEECH-LANGUAGE PATHOLOGY LICENSE NUMBER OR CLEAR CREDENTIAL DOCUMENT NUMBER
3. STREET ADDRESS:
CITY, STATE, ZIP CODE:
4. EMAIL ADDRESS:

Refer to Title 16, California Code of Regulations (16 CCR), Section 1399.170.15 for a supervisor's responsibilities.

#### PART C: SUPERVISION

5. DATE SUPERVISION BEGAN: (MM/DD/YY)
6. ARE YOU SUPERVISING AN ASSISTANT WHO HAS MORE THAN ONE SUPERVISOR?
If you place indicate whether you will be the supervisor designated as the load supervisor for the supervisor of essisting the
If yes, please indicate whether you will be the supervisor designated as the lead supervisor for the purposes of assisting the
speech-language pathology assistant in their compliance with the requirements pursuant to 16 CCR section 1399.170.17.
7. ASSISTANT'S NUMBER OF EMPLOYMENT HOURS PER WEEK: FULL-TIME (over 30) PART-TIME (under 30)

#### Duties and Responsibilities of Speech-Language Pathology Assistant

- 1) <u>I have reviewed with my supervisor the laws and regulations pertaining to the practice of speech-language</u> <u>pathology assistant.</u>
- 2) <u>I will complete twelve (12) hours of continuing professional development every two (2) years with the help of my supervisor.</u>

ASSISTANT SIGNATURE

PRINTED NAME OF ASSISTANT DATE

#### Duties and Responsibilities of Supervisor

- <u>I possess and will maintain a current, active, and unrestricted California Speech-Language Pathology license, or a current, active, and unrestricted credential in language, speech, and hearing or speech-language pathology services issued by the Commission on Teacher Credentialing, and have at least two years of full-time experience or 3,120 hours providing services as a licensed or credentialed speech-language pathologist. "Full-time experience" means the individual works a minimum of thirty (30) hours per week for at least thirty-six (36) weeks in a calendar year.</u>
- 2) <u>I will immediately notify the assistant of any disciplinary action, including revocation, suspension (even if stayed),</u> probation terms, inactive license, or lapse in licensure that affects my ability or right to supervise.
- 3) I will ensure that the extent, kind, and quality of the clinical work performed is consistent with the training and experience of the assistant and I shall be accountable for the assigned tasks performed by the assistant. I will review client/patient records, monitor and evaluate the ability of the assistant to provide services to the particular clientele being treated at the setting where the service is being provided, and ensure compliance with all laws and regulations governing the practice of speech-language pathology.
- 4) <u>I will provide direct supervision that consists of on-site observation and guidance at least twenty (20) percent per week of the assistant's work schedule for the first ninety (90) days following initial licensure. I will maintain a record in the assistant's personnel file that verifies that the speech-language pathology assistant met this requirement.</u>
- 5) <u>I will complete no less than six (6) hours of continuing professional development in supervision training prior to</u> assuming responsibility as a supervisor, and three (3) hours in supervision training every four (4) years thereafter.
- 6) <u>I will maintain records of course completion in supervision training for a period of two (2) years from the assistant's</u> registration renewal date.
- 7) <u>I have reviewed with the assistant the laws and regulations pertaining to supervision and practice of assistants.</u>
- 8) <u>I will develop a plan for the assistant to complete twelve (12) hours of continuing professional development every two (2) years, through state or regional conferences, workshops, formal in-service presentations, independent study programs, or any combination of these, concerning communication disorders.</u>
- 9) <u>I will provide the assistant with a plan for how to handle emergencies.</u>
- 10) I assume responsibility for all services provided to clients by the assistant that is being supervised.
- 11) <u>I will provide the Board with this signed form within thirty (30) business days from the commencement date of supervision, which verifies my compliance with the requirements set forth in Article 12 of Division 13.4 of Title 16, California Code of Regulations. I will provide a copy of this completed form to the assistant within forty-five (45) business days from the commencement date of supervision.</u>
- 12) <u>I will not supervise more than three (3) full-time equivalent support personnel, and I shall not exceed six (6) support personnel at any time.</u>

#### Duties and Responsibilities of Supervisor cont'd

13) <u>At the time of termination of supervision, I will notify the Board in writing and submit the original signed notification to the Board within fourteen (14) calendar days of termination of supervision. I will provide a copy of the completed notification to the assistant within forty-five (45) business days of termination of supervision.</u>

SIGNATURE OF SUPERVISOR

PRINT FULL LEGAL NAME OF SUPERVISOR

LICENSE NUMBER OR CREDENTIAL NUMBER (Please attach a copy of the front and back of your credential) DATE

NOTICE OF COLLECTION OF PERSONAL INFORMATION

The information collected on this form may be provided to other governmental agencies, or in response to a court order, subpoena, search warrant, or Public Records Act request. You have a right of access to records containing personal information unless the records are exempted from disclosure pursuant to the Information Practices Act (Civil Code section 1798 et seq.). Individuals may obtain information regarding the location of their records by contacting the Board's Executive Officer at the Board's address listed above.

#### **TERMINATION OF SUPERVISION**

Division 13.4 of Title 16, California Code of Regulations Section 1399.170.18 requires that at the time of termination of supervision, the supervisor shall submit this original signed form within fourteen days of the termination of supervision.

		SPA Number	
Supervisor's Name		cense or SSN Number-	
,	that I supervised tant in accordance with Se	ection 1399.170.15 of the C	<u>in performing th</u> alifornia Code of
nformation submitted on this form is true and correct.			
nformation submitted on this form is true and correct. Printed Name of Qualified Supervisor	Signature o	f Qualified Supervisor	Date-
nformation submitted on this form is true and correct.			
nformation submitted on this form is true and correct. Printed Name of Qualified Supervisor Mailing Address: No. & Street	Signature o	f Qualified Supervisor	Date
	Signature o	f Qualified Supervisor	Date